

AUTO CR - LOG SUMMARY #1068387

TYPE: CR

Incident Finding / Overall Case Finding

Description of Incident	Finding	Entered By	Entered Date
	SUSTAINED	ANDERSON, RORY	14-NOV-2016

Reporting Party Information

	Role	Name	Star No.	Emp No.	UOA / UOD	Position	Sex	Race	Address	Phone
CPD Employee	Reporting Party Third Party	MOORE, TIMOTHY L	244		121 /	SERGEANT OF POLICE	M	BLK		

Incident Information

Incident From Date/Time	Address of Incident	Beat	Dist. Of Occurrence	Location Code	Location Description
05-AUG-2013 12:10 - 05-AUG-2013 12:10		0113	001	292 - GOVERNMENT BUILDING/PROPERTY	

Accused Members

	Role	Name	Star No.	Emp No.	UOA / UOD	Position	Status	Initial / Intake Allegation
CPD Employee	Accused	WELCH, JOHN			015 / 376	POLICE OFFICER	OFF Duty	It is reported that retired PO John Welch pleaded guilty to a Federal case on August 5, 2013, and that CPD Human Resources deemed him decertified as a Law Enforcement Officer.

Other Involved Parties

Role	Name	Star No.	Emp No.	UOA / UOD	Position	Sex	Race	Address	Phone
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Involved Party Associations

Role	Rep. Party Name	Related Person	Relationship
Reporting Party Third Party	MOORE, TIMOTHY L	WELCH, JOHN	NO RELATIONSHIP

Incident Details

CR Required?		Manner Incident Received?	PAX
Confidential?		Biased Language?	N
Extraordinary Occurrence?	N	Bias Based Profiling?	N
Police Shooting (U)?	N	Alcohol Related?	N
Non Disciplinary Intervention:	N	Pursuit Related?	N
Initial Assignment:	IAD	Violence in Workplace?	N
Notify IAD Immediately?	N	Domestic Violence?	N
EEO Complaint No.:			
Civil Suit No.:		Civil Suit Settled Date:	
Notify Chief Administrator?	N	Notify Chief?	
Notify Coordinator?		Notification Does Not Apply?	Y
Notification Other?	N		
Notification Comments:			

Incident Category List

Incident Category	Primary?	Initial?
08Z - GROUP 08 - CRIMINAL CONDUCT MISCELLANEOUS	Y	Y
08Z - GROUP 08 - CRIMINAL CONDUCT MISCELLANEOUS	Y	N

Investigator History

Investigator History

Investigator	Type	Assigned Team	Assigned Date	Scheduled End Date	Investigation End Date	No. of Days
HERMANN, JANINE	Primary	GENERAL INVESTIGATION SECTION	23-JAN-2015	24-MAR-2015	04-MAR-2015	40
MOORE, TIMOTHY	Primary	CONFIDENTIAL INVESTIGATION SECTION	10-APR-2014	04-FEB-2015	23-JAN-2015	288
HERMANN, JANINE	Primary	GENERAL INVESTIGATION SECTION	25-SEP-2015	22-FEB-2016	04-FEB-2016	132
VANNA, ROBERT	Supervisor	GENERAL INVESTIGATION SECTION	22-OCT-2015	21-NOV-2015	04-FEB-2016	
O KELLY, PATRICK	Supervisor	GENERAL INVESTIGATION SECTION	25-SEP-2015	25-OCT-2015	22-OCT-2015	
O KELLY, PATRICK	Supervisor	GENERAL INVESTIGATION SECTION	23-JAN-2015	22-FEB-2015	04-MAR-2015	
MELEAN, FREDERICK	Supervisor	CONFIDENTIAL INVESTIGATION SECTION	10-APR-2014	10-MAY-2014	23-JAN-2015	

Extension History

Name	Previous Scheduled End Date	Extended Scheduled End Date	Date Certified Letter Sent	Reason Selected	Explanation	Extension Report Date	Approved By	Approved Date	Approval Comments
HERMANN, JANINE	23-JAN-2016	22-FEB-2016		OTHER (DESCRIBE)	unable to contact accused	27-JAN-2016	VANNA, ROBERT	28-JAN-2016	ok
HERMANN, JANINE	24-DEC-2015	23-JAN-2016		OTHER (DESCRIBE)	unable to contact accused	27-JAN-2016	VANNA, ROBERT	28-JAN-2016	ok
HERMANN, JANINE	24-NOV-2015	24-DEC-2015		OTHER (DESCRIBE)	attempting to contact accused	27-JAN-2016	VANNA, ROBERT	28-JAN-2016	ok
HERMANN, JANINE	25-OCT-2015	24-NOV-2015		OTHER (DESCRIBE)	Attempting to contact accused	27-JAN-2016	VANNA, ROBERT	28-JAN-2016	ok
HERMANN, JANINE	22-FEB-2015	24-MAR-2015		OTHER (DESCRIBE)	Case Closed	04-MAR-2015	O KELLY, PATRICK	04-MAR-2015	
MOORE, TIMOTHY	05-JAN-2015	04-FEB-2015		OTHER (DESCRIBE)	Awaiting decertification report	21-JAN-2015	MELEAN, FREDERICK	22-JAN-2015	
MOORE, TIMOTHY	06-DEC-2014	05-JAN-2015		OTHER (DESCRIBE)	Awaiting decertification report	21-JAN-2015	MELEAN, FREDERICK	22-JAN-2015	
MOORE, TIMOTHY	06-NOV-2014	06-DEC-2014		OTHER (DESCRIBE)	Awaiting decertification report	21-JAN-2015	MELEAN, FREDERICK	22-JAN-2015	
MOORE, TIMOTHY	06-NOV-2014	06-DEC-2014		OTHER (DESCRIBE)	Awaiting decertification report	21-JAN-2015	MELEAN, FREDERICK	22-JAN-2015	
MOORE, TIMOTHY	06-NOV-2014	06-DEC-2014		OTHER (DESCRIBE)	Awaiting decertification report	21-JAN-2015	MELEAN, FREDERICK	22-JAN-2015	
MOORE, TIMOTHY	07-OCT-2014	06-NOV-2014		OTHER (DESCRIBE)	Additional investigative work required.	14-OCT-2014	MELEAN, FREDERICK	14-OCT-2014	
MOORE, TIMOTHY	07-SEP-2014	07-OCT-2014		OTHER (DESCRIBE)	Additional investigative work required.	14-OCT-2014	MELEAN, FREDERICK	14-OCT-2014	
MOORE, TIMOTHY	08-AUG-2014	07-SEP-2014		OTHER (DESCRIBE)	Additional investigative work required.	14-OCT-2014	MELEAN, FREDERICK	14-OCT-2014	
MOORE, TIMOTHY	09-JUL-2014	08-AUG-2014		OTHER (DESCRIBE)	Awaiting Sentencing order	22-JUL-2014	MELEAN, FREDERICK	25-JUL-2014	
MOORE, TIMOTHY	09-JUN-2014	09-JUL-2014		OTHER (DESCRIBE)	Awaiting sentencing order	22-JUL-2014	MELEAN, FREDERICK	25-JUL-2014	
MOORE, TIMOTHY	10-MAY-2014	09-JUN-2014		OTHER (DESCRIBE)	Awaiting sentencing order	22-JUL-2014	MELEAN, FREDERICK	25-JUL-2014	

Current Allegations

Accused Name	Seq. No.	Allegation	Category	Subcategory	Finding
WELCH, JOHN	1	It is alleged that the Accused has been decertified by the Illinois Law Enforcement Training and Standards Board due to a conviction in Federal court case [REDACTED] on August 5, 2013.	009 GROUP 09 - CONDUCT UNBECOMING VIOLATIONS (OFF DUTY)	MISCELLANEOUS	SUSTAINED

Situations (Allegation Details)

Accused Name	Alleg. No.	Situation	Victim/Offender Armed?	Weapon Types	Weapon Other	Weapon Recovered?	Deceased?
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Status History

Resulting Status	Status Date/Time	Created By	Position	UOA / UOD	Comments
CLOSED/FINAL	14-NOV-2016 09:42	ANDERSON, RORY	POLICE AGENT	121 /	
PENDING ADVOCATE OFFICE CLOSING STEPS (I.A.D.)	14-NOV-2016 09:39	ANDERSON, RORY	POLICE AGENT	121 /	
PENDING POLICE BOARD HEARING	10-MAY-2016 03:13	GRABOWSKI, CHRISTOPH	POLICE OFFICER	121 /	
PENDING POLICE BOARD HEARING	04-MAY-2016 01:58	COSTELLO, ROBERT	SERGEANT OF POLICE	121 /	
PENDING ADVOCATE REVIEW	04-MAY-2016 01:54	ANDERSON, RORY	POLICE AGENT	121 /	
PENDING REVIEW INCIDENT (I.A.D./DISTRICT USE)	25-FEB-2016 09:29	KLIMAS, ROBERT	COMMANDER	121 /	
PENDING LIEUTENANT REVIEW	24-FEB-2016 12:19	DENHAM, LEVESTER	LIEUTENANT OF POLICE	002 / 121	
PENDING LIEUTENANT REVIEW	16-FEB-2016 08:33	VANNA, ROBERT	SERGEANT OF POLICE	121 /	
PENDING LIEUTENANT REVIEW	04-FEB-2016 09:59	HERMANN, JANINE	SERGEANT OF POLICE	121 /	
PENDING INVESTIGATIVE REVIEW	04-FEB-2016 09:58	HERMANN, JANINE	SERGEANT OF POLICE	121 /	
PENDING ADDITIONAL INVESTIGATION	25-SEP-2015 07:57	COSTELLO, ROBERT	SERGEANT OF POLICE	121 /	
PENDING ADVOCATE REVIEW	24-SEP-2015 11:10	GRABOWSKI, CHRISTOPH	POLICE OFFICER	121 /	
PENDING REVIEW INCIDENT (I.A.D./DISTRICT USE)	13-JUL-2015 02:05	COSTELLO, ROBERT	SERGEANT OF POLICE	004 / 121	
PENDING ADVOCATE REVIEW	13-JUL-2015 01:52	GRABOWSKI, CHRISTOPH	POLICE OFFICER	121 /	
PENDING REVIEW INCIDENT (I.A.D./DISTRICT USE)	17-MAR-2015 10:20	KLIMAS, ROBERT	COMMANDER	121 /	
PENDING LIEUTENANT REVIEW	05-MAR-2015 06:31	KAUP JR, EDWIN	LIEUTENANT OF POLICE	121 /	
PENDING LIEUTENANT REVIEW	04-MAR-2015 12:35	O KELLY, PATRICK	SERGEANT OF POLICE	121 /	
PENDING LIEUTENANT REVIEW	04-MAR-2015 10:53	HERMANN, JANINE	SERGEANT OF POLICE	121 /	
PENDING INVESTIGATIVE REVIEW	04-MAR-2015 10:51	HERMANN, JANINE	SERGEANT OF POLICE	121 /	
PENDING INVESTIGATION	23-JAN-2015 09:13	MELEAN, FREDERICK	LIEUTENANT OF POLICE	121 /	
PENDING ASSIGN INVESTIGATOR	23-JAN-2015 09:11	MELEAN, FREDERICK	LIEUTENANT OF POLICE	121 /	
PENDING APPROVE TEAM	23-JAN-2015 09:10	MELEAN, FREDERICK	LIEUTENANT OF POLICE	121 /	
PENDING INVESTIGATION	10-APR-2014 06:56	PIONKE, JOSEPH	SERGEANT OF POLICE	121 /	
PENDING ASSIGN INVESTIGATOR	08-APR-2014 02:13	DUNN, BRENDA	SERGEANT OF POLICE	121 /	
PENDING APPROVE TEAM	07-APR-2014 12:54	WATSON, JOHN	POLICE OFFICER	121 /	
PENDING ASSIGN TEAM	04-APR-2014 07:37	DEAN, BRUCE	SUPERVISING INV COPA	113 /	
PENDING SUPERVISOR REVIEW	04-APR-2014 07:31	DEAN, BRUCE	SUPERVISING INV COPA	113 /	
PRELIMINARY	04-APR-2014 07:28	DEAN, BRUCE	SUPERVISING INV COPA	113 /	
PRELIMINARY	04-APR-2014 07:25	DEAN, BRUCE	SUPERVISING INV COPA	113 /	edit
PENDING SUPERVISOR REVIEW	04-APR-2014 02:23	HAYES, SHANNON	INVESTIGATOR 2 COPA	113 /	
PRELIMINARY	04-APR-2014 12:15	TOPPINS, YOLANDA	INTAKE AIDE	113 /	

Attachments

No.	Type	Related Person	No. of Pages	Narrative	Original in File	Entered By	Entered Date/Time	Status	Approve Content	Approve Inclusion
1	INVESTIGATION					MOORE, TIMOTHY	11-APR-2014 08:59			
1	FACE SHEET					TOPPINS, YOLANDA	04-APR-2014 12:15			
2	CONFLICT CERTIFICATION					MOORE, TIMOTHY	11-APR-2014 08:59			
3	DOCUMENTS - INVESTIGATION		1		Y	MOORE, TIMOTHY	11-APR-2014 09:04	APPROVED		
4	DOCUMENTS - INTAKE INCIDENT		2		N	HAYES, SHANNON	04-APR-2014 02:23	APPROVED		
5	DOCUMENTS - INVESTIGATION		42	PO John Welch's indictment Case No. [REDACTED]	Y	MOORE, TIMOTHY	11-APR-2014 09:05	APPROVED		
6	DOCUMENTS - INVESTIGATION		14	PO John Welch's plea agreement Case No. [REDACTED]	Y	MOORE, TIMOTHY	11-APR-2014 09:06	APPROVED		

Attachments

No.	Type	Related Person	No. of Pages	Narrative	Original in File	Entered By	Entered Date/Time	Status	Approve Content	Approve Inclusion
7	DOCUMENTS - INVESTIGATION		2	PO John Welch's Decertification PAR W/ Report	Y	MOORE, TIMOTHY	11-APR-2014 09:08	APPROVED		
8	DOCUMENTS - INVESTIGATION		8	John Welch's Sentencing Order and Criminal Monetary Penalties	Y	MOORE, TIMOTHY	22-JUL-2014 08:13	APPROVED		
9	DOCUMENTS - INVESTIGATION		1	PO John Welch's Decertification Letter	Y	MOORE, TIMOTHY	21-JAN-2015 05:32	APPROVED		
10	DOCUMENTS - INVESTIGATION		1		Y	MOORE, TIMOTHY	21-JAN-2015 05:32	APPROVED		
11	DOCUMENTS - INVESTIGATION		2		Y	MOORE, TIMOTHY	21-JAN-2015 05:33	APPROVED		
12	CONFLICT CERTIFICATION					HERMANN, JANINE	26-JAN-2015 12:10			
13	DOCUMENTS - INVESTIGATION		3	certified letter	N	HERMANN, JANINE	29-JAN-2015 02:22	APPROVED		
14	DOCUMENTS - INVESTIGATION		2	Accurant report	N	HERMANN, JANINE	03-FEB-2015 12:24	APPROVED		
15	DOCUMENTS - INVESTIGATION		1	DL info	Y	HERMANN, JANINE	03-FEB-2015 12:24	APPROVED		
16	DOCUMENTS - INVESTIGATION		3	certified letter #2	N	HERMANN, JANINE	03-FEB-2015 12:25	APPROVED		
17	DOCUMENTS - INVESTIGATION		2	USPS tracking info	N	HERMANN, JANINE	18-FEB-2015 09:44	APPROVED		
18	DOCUMENTS - INVESTIGATION		1		Y	HERMANN, JANINE	18-FEB-2015 09:46	APPROVED		
19	DOCUMENTS - INVESTIGATION		3		N	HERMANN, JANINE	27-JAN-2016 09:47	APPROVED		
20	DOCUMENTS - INVESTIGATION		8		N	HERMANN, JANINE	27-JAN-2016 09:50	APPROVED		
21	DOCUMENTS - INVESTIGATION		1		N	HERMANN, JANINE	27-JAN-2016 09:51	APPROVED		
22	DOCUMENTS - INVESTIGATION		1	USPS return receipt	Y	HERMANN, JANINE	27-JAN-2016 09:54	APPROVED		
23	DOCUMENTS - INVESTIGATION		1		N	HERMANN, JANINE	27-JAN-2016 09:54	APPROVED		
	DOCUMENTS - INVESTIGATION		6	Closing Packet	Y	HERMANN, JANINE	04-MAR-2015 10:46	APPROVED		
	DOCUMENTS - REVIEW INCIDENT		1		N	ANDERSON, RORY	04-MAY-2016 01:44	APPROVED		
	DOCUMENTS - ACCUSED APPEAL	ACCUSED - WELCH, JOHN	8	Police Board Hearing Decision For Case NO. [REDACTED] Related To CR No. 1068387 Police Officer John Welch	N	ANDERSON, RORY	14-NOV-2016 09:24	APPROVED		
	DOCUMENTS - INVESTIGATION		2		Y	HERMANN, JANINE	04-FEB-2016 09:57	APPROVED		

Review Incident

Review Type	Accused/Involved Member Name	Result Type	Reviewed By	Position	Unit	Review Date	Remarks
ASSISTANT ADVOCATE REVIEW		SUBMITTED	ANDERSON, RORY	POLICE AGENT	121	04-MAY-2016 01:54	Pending Police Board Hearing. Member Served With Suspension Notice and Police Board Hearing Documents.
LIEUTENANT REVIEW		SUBMITTED	KLIMAS, ROBERT	COMMANDER	121	25-FEB-2016 09:29	OK
LIEUTENANT REVIEW		SUBMITTED	DENHAM, LEVESTER	LIEUTENANT OF POLICE	121	24-FEB-2016 12:19	
LIEUTENANT REVIEW		SUBMITTED	VANNA, ROBERT	LIEUTENANT OF POLICE	002	16-FEB-2016 08:33	
SERGEANT REVIEW		SUBMITTED	HERMANN, JANINE	SERGEANT OF POLICE	121	04-FEB-2016 09:59	
ADVOCATE REVIEW		ADDITIONAL INVESTIGATION NEEDED	COSTELLO, ROBERT	LIEUTENANT OF POLICE	002	25-SEP-2015 07:57	A/I
ASSISTANT ADVOCATE REVIEW		SUBMITTED	GRABOWSKI, CHRISTOPH	SERGEANT OF POLICE	134	24-SEP-2015 11:10	Return for additional investigation.
ASSISTANT ADVOCATE REVIEW		SUBMITTED	GRABOWSKI, CHRISTOPH	SERGEANT OF POLICE	134	13-JUL-2015 01:52	Return to investigator for additional work.
LIEUTENANT REVIEW		SUBMITTED	KLIMAS, ROBERT	COMMANDER	121	17-MAR-2015 10:20	OK
LIEUTENANT REVIEW		SUBMITTED	KAUP JR, EDWIN	CAPTAIN OF POLICE	011	05-MAR-2015 06:31	
LIEUTENANT REVIEW		SUBMITTED	O KELLY, PATRICK	SERGEANT OF POLICE	196	04-MAR-2015 12:35	
SERGEANT REVIEW		SUBMITTED	HERMANN, JANINE	SERGEANT OF POLICE	121	04-MAR-2015 10:53	

Review Accused

Review Accused

Review Type	Accused/Involved Member Name	Result Type	Reviewed By	Position	Unit	Review Date	Remarks
ADVOCATE OFFICE CLOSING STEPS	WELCH, JOHN	SUBMITTED	ANDERSON, RORY	POLICE AGENT	121	14-NOV-2016 09:42	Close To Records
POLICE BOARD HEARING	WELCH, JOHN	SUBMITTED	ANDERSON, RORY	POLICE AGENT	121	14-NOV-2016 09:39	
POLICE BOARD HEARING	WELCH, JOHN	SUBMITTED	GRABOWSKI, CHRISTOPH	SERGEANT OF POLICE	134	10-MAY-2016 03:13	
ADVOCATE REVIEW	WELCH, JOHN	REJECTED	COSTELLO, ROBERT	LIEUTENANT OF POLICE	002	13-JUL-2015 02:05	requested

Accused Finding History

Accused	Allegation	Reviewed By	Reviewed Date/Time	CCR?	Concur?	Finding	Finding Comments
WELCH, JOHN	1. It is alleged that the Accused has been decertified by the I...	ANDERSON, RORY	14-NOV-2016 09:39			SUSTAINED	
WELCH, JOHN	1. It is alleged that the Accused has been decertified by the I...	GRABOWSKI, CHRISTOPH	10-MAY-2016 03:13			SUSTAINED	
WELCH, JOHN	1. It is alleged that the Accused has been decertified by the I...	HERMANN, JANINE	04-MAR-2015 10:51			SUSTAINED	

Accused Penalty History

Accused	Reviewed By	Reviewed Date/Time	CCR?	Concur?	Penalty	Penalty Comments
WELCH, JOHN	ANDERSON, RORY	14-NOV-2016 09:39			SEPARATION	
WELCH, JOHN	GRABOWSKI, CHRISTOPH	10-MAY-2016 03:13			SEPARATION	
WELCH, JOHN	HERMANN, JANINE	04-MAR-2015 10:53			SEPARATION	

Findings

Accused Name	Allegations	Category	Concur?	Findings	Comments
WELCH, JOHN	It is alleged that the Accused has been decertified by the Illinois Law Enforcement Training and Standards Board due to a conviction in Federal court ca [REDACTED] August 5, 2013.	009 GROUP 09 - CONDUCT UNBECOMING VIOLATIONS (OFF DUTY)		SUSTAINED	

FACE SHEET (Notification Date: 04-APR-2014) - LOG #1068387

TYPE: CR

Reporting Party Information

	Role	Name	Star No.	Emp No.	UOA / UOD	Position	Sex	Race	Address	Phone
CPD Employee	Reporting Party Third Party	MOORE, TIMOTHY L	244		121 /	SERGEANT OF POLICE	M	BLK		

Incident Information

Incident From Date/Time	Address of Incident	Beat	Dist. Of Occurrence	Location Code	Location Description
05-AUG-2013 12:10 - 05-AUG-2013 12:10		0113	001	292 - GOVERNMENT BUILDING/PROPERTY	

Accused Members

	Role	Name	Star No.	Emp No.	UOA / UOD	Position	Status	Initial / Intake Allegation
CPD Employee	Accused	WELCH, JOHN			015 / 376	POLICE OFFICER	OFF Duty	It is reported that retired PO John Welch pleaded guilty to a Federal case on August 5, 2013, and that CPD Human Resources deemed him decertified as a Law Enforcement Officer.

Incident Details

CR Required?		Manner Incident Received?	PAX
Confidential?		Biased Language?	N
Extraordinary Occurrence?	N	Bias Based Profiling?	N
Police Shooting (U)?	N		
Motor Vehicle (V)?		Alcohol Related?	N
Non Disciplinary Intervention:	N	Pursuit Related?	N
Initial Assignment:	IAD	Violence in Workplace?	N
Notify IAD Immediately?	N	Domestic Violence?	N
EEO Complaint No.:			
Civil Suit No.:		Notify Chief?	
Notify Chief Administrator?	N	Notification Does Not Apply?	Y
Notify Coordinator?			
Notification Other?	N		

Initial Incident Category List

Initial Incident Category	Primary?
08Z - GROUP 08 - CRIMINAL CONDUCT MISCELLANEOUS	Y
08Z - GROUP 08 - CRIMINAL CONDUCT MISCELLANEOUS	Y

Assignment History

Assigned To	Assigned Team	Investigator	Assignment Date/Time	Assigned By	Reason
IAD	GENERAL INVESTIGATION SECTION	VANNA, ROBERT (SUPERVISOR)	22-OCT-2015 08:32	MELEAN, FREDERICK	
IAD	GENERAL INVESTIGATION SECTION	O KELLY, PATRICK (SUPERVISOR)	25-SEP-2015 07:57	COSTELLO, ROBERT	
IAD	GENERAL INVESTIGATION SECTION	HERMANN, JANINE (PRIMARY INV)	25-SEP-2015 07:57	COSTELLO, ROBERT	
IAD	GENERAL INVESTIGATION SECTION	O KELLY, PATRICK (SUPERVISOR)	23-JAN-2015 09:13	MELEAN, FREDERICK	
IAD	GENERAL INVESTIGATION SECTION	HERMANN, JANINE (PRIMARY INV)	23-JAN-2015 09:13	MELEAN, FREDERICK	
IAD	GENERAL INVESTIGATION SECTION	-	23-JAN-2015 09:10	MELEAN, FREDERICK	
IAD	CONFIDENTIAL INVESTIGATION SECTION	MELEAN, FREDERICK (SUPERVISOR)	10-APR-2014 06:56	PIONKE, JOSEPH	
IAD	CONFIDENTIAL INVESTIGATION SECTION	MOORE, TIMOTHY (PRIMARY INV)	10-APR-2014 06:56	PIONKE, JOSEPH	
IAD	CONFIDENTIAL INVESTIGATION SECTION	-	07-APR-2014 12:54	WATSON, JOHN	
IAD	INTERNAL AFFAIRS DIVISION	-	04-APR-2014 12:15	TOPPINS, YOLANDA	

Status History

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Status History

Resulting Status	Status Date/Time	Created By	Position	UOA / UOD	Comments
CLOSED/FINAL	14-NOV-2016 09:42	ANDERSON, RORY	POLICE AGENT	121 /	
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PENDING POLICE BOARD HEARING	10-MAY-2016 03:13	GRABOWSKI, CHRISTOPH	POLICE OFFICER	121 /	
PENDING POLICE BOARD HEARING	04-MAY-2016 01:58	COSTELLO, ROBERT	SERGEANT OF POLICE	121 /	
PENDING ADVOCATE REVIEW	04-MAY-2016 01:54	ANDERSON, RORY	POLICE AGENT	121 /	
PENDING REVIEW INCIDENT (I.A.D./DISTRICT USE)	25-FEB-2016 09:29	KLIMAS, ROBERT	COMMANDER	121 /	
PENDING LIEUTENANT REVIEW	24-FEB-2016 12:19	DENHAM, LEVESTER	LIEUTENANT OF POLICE	002 / 121	
PENDING LIEUTENANT REVIEW	16-FEB-2016 08:33	VANNA, ROBERT	SERGEANT OF POLICE	121 /	
PENDING LIEUTENANT REVIEW	04-FEB-2016 09:59	HERMANN, JANINE	SERGEANT OF POLICE	121 /	
PENDING INVESTIGATIVE REVIEW	04-FEB-2016 09:58	HERMANN, JANINE	SERGEANT OF POLICE	121 /	
PENDING ADDITIONAL INVESTIGATION	25-SEP-2015 07:57	COSTELLO, ROBERT	SERGEANT OF POLICE	121 /	
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PENDING LIEUTENANT REVIEW	04-MAR-2015 12:35	O KELLY, PATRICK	SERGEANT OF POLICE	121 /	
PENDING LIEUTENANT REVIEW	04-MAR-2015 10:53	HERMANN, JANINE	SERGEANT OF POLICE	121 /	
PENDING INVESTIGATIVE REVIEW	04-MAR-2015 10:51	HERMANN, JANINE	SERGEANT OF POLICE	121 /	
PENDING INVESTIGATION	23-JAN-2015 09:13	MELEAN, FREDERICK	LIEUTENANT OF POLICE	121 /	
PENDING ASSIGN INVESTIGATOR	23-JAN-2015 09:11	MELEAN, FREDERICK	LIEUTENANT OF POLICE	121 /	
PENDING APPROVE TEAM	23-JAN-2015 09:10	MELEAN, FREDERICK	LIEUTENANT OF POLICE	121 /	
PENDING INVESTIGATION	10-APR-2014 06:56	PIONKE, JOSEPH	SERGEANT OF POLICE	121 /	
PENDING ASSIGN INVESTIGATOR	08-APR-2014 02:13	DUNN, BRENDA	SERGEANT OF POLICE	121 /	
PENDING APPROVE TEAM	07-APR-2014 12:54	WATSON, JOHN	POLICE OFFICER	121 /	
PENDING ASSIGN TEAM	04-APR-2014 07:37	DEAN, BRUCE	SUPERVISING INV COPA	113 /	
PENDING SUPERVISOR REVIEW	04-APR-2014 07:31	DEAN, BRUCE	SUPERVISING INV COPA	113 /	
PRELIMINARY	04-APR-2014 07:28	DEAN, BRUCE	SUPERVISING INV COPA	113 /	
PRELIMINARY	04-APR-2014 07:25	DEAN, BRUCE	SUPERVISING INV COPA	113 /	edit
PENDING SUPERVISOR REVIEW	04-APR-2014 02:23	HAYES, SHANNON	INVESTIGATOR 2 COPA	113 /	
PRELIMINARY	04-APR-2014 12:15	TOPPINS, YOLANDA	INTAKE AIDE	113 /	

SWORN AFFIDAVIT FOR COMPLAINT LOG INVESTIGATION
CHICAGO POLICE DEPARTMENT

STATE OF ILLINOIS
COUNTY OF COOK

CC

Location of Incident	Date	Time
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Summary of Statement(s):

- I, _____ here
1. I have read the above summary and/or _____ in its entirety, reviewed it for accuracy and opportunity to make corrections and additions to the st
 2. Under penalties as provided b _____, 735 ILCS 5/1-109, I certify that the information set forth _____(s) above and/or attached summary are true and cr _____ any matters therein stated to be on information and beli _____, I certify as aforesaid that I verily believe the same to '

Print Affiant's Name _____

Print Witness's Name _____

Affiant's Signature _____

Witness's Signature _____

Date _____

Date _____

Bureau of Internal Affairs
Investigations Division
Confidential Investigations Section

04 April 2014

TO: Juan Rivera
Chief
Bureau of Internal Affairs

FROM: Timothy Moore
Sergeant
Bureau of Internal Affairs (CIS)

SUBJECT: Complaint Log No. 1068387 Initiation Report

NOTIFICATION: IPRA Intake, Toppins Employee [REDACTED]

DATE AND TIME 04 April 2014, 1215 hours

LOCATION: 219 S Dearborn, Dirksen Federal Building

COMPLAINANT: Sergeant Timothy Moore #2299
Unit 121
Emp# [REDACTED]
DOA 07 Dec 1992

ACCUSED: Officer John Welch
Unit- Resigned
Emp# [REDACTED]
DOA 25 Oct 2004

Allegation: It is alleged by the complainant that on 05 Aug 2013 the above accused has plead guilty to charges related to United States District Court Case No. [REDACTED]. As a result the Chicago Police Department's Human Resources Division has deemed him decertified as a law enforcement officer.

Bureau of Internal Affairs
Investigations Division
Confidential Investigations Section

04 April 2014

*****Signature Page Only*****

Sgt. Timothy Moore
Sgt. Timothy Moore #2299

APPROVED:

Commander Robert J Klimas

Lieutenant Frederick Melean #666

MAY 02 2012 *AK*

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

THOMAS G. BRUTON
CLERK, U.S. DISTRICT COURT

UNITED STATES OF AMERICA

No. [REDACTED]

vs.

Violations: Title 18, United States Code,
Sections 1343 and 2

JUDGE RONALD GUZMAN
MAGISTRATE JUDGE NOLAN

COUNT ONE

The SPECIAL SEPTEMBER 2011 GRAND JURY charges:

1. At times material to this indictment:

a. Defendant [REDACTED] was a managing officer and co-owner of [REDACTED]

[REDACTED] an Illinois limited liability company that owned a condominium building located
at [REDACTED] Defendant [REDACTED] was also the president of

[REDACTED] and
[REDACTED]

b. Defendant [REDACTED] was the owner of [REDACTED]

[REDACTED] an Illinois corporation, and [REDACTED]

[REDACTED], both Illinois limited liability
companies.

c. Defendant [REDACTED] was a licensed loan officer whose
responsibilities included arranging for borrowers to obtain mortgage loans from lenders.

d. Defendant [REDACTED] was a licensed loan officer whose

responsibilities included arranging for borrowers to obtain mortgage loans from lenders.

e. Washington Mutual Bank, National City Mortgage, Lehman Brothers Bank, IndyMac Bank FSB, Countrywide Bank FSB, Citibank, and Fifth Third Bank were financial institutions, the deposits of which were insured by the Federal Deposit Insurance Corporation.

f. Washington Mutual Bank, National City Mortgage, Exclusive Bancorp, Inc., CitiMortgage, Inc., Fifth Third Mortgage Company, American Broker's Conduit, Lehman Brothers Bank, First Magnus Financial Corporation, Wachovia Mortgage Corporation, IndyMac Bank FSB, Countrywide Home Loans, Inc., Countrywide Bank FSB, Gateway Capital Mortgage Corporation, and First Franklin Financial Corporation were lenders in the business of issuing mortgage loans to borrowers across the United States, including in the Northern District of Illinois.

g. Lenders required applicants for mortgage loans to provide truthful information in loan applications and supporting documents, including information concerning applicants' employment, income, assets, liabilities, intention to occupy the property purchased, and ownership interests in properties, and the source of any down payment, which information was material to the decision to approve and fund mortgage loans.

h. Lenders sold the mortgage loans to other lenders and institutions ("successors"). Lenders disclosed that the mortgage loans could be sold and the likelihood that the mortgage loans would be sold. The information provided in loan applications and supporting documents, including information concerning borrower's employment, income, assets, liabilities, payment history, intention to occupy the property purchased, and the sale price, was material to the successors' decisions to purchase the mortgage loans.

2. Beginning in or around 2006, and continuing through at least 2008, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,



defendants herein, together with others known and unknown to the Grand Jury, devised, intended to devise, and participated in a scheme to defraud and to obtain money and property from lenders, including financial institutions, by means of materially false and fraudulent pretenses, representations, and promises, and by material omissions, which scheme affected financial institutions and is further described below.

3. It was part of the scheme that defendant [REDACTED]

[REDACTED] and [REDACTED] together with persons known and unknown to the grand jury, fraudulently obtained approximately 35 mortgage loans totaling at least approximately \$8,820,630 from lenders, including financial institutions, by making materially false representations and omissions in loan applications, supporting documents, and HUD-1 settlement statements concerning the buyers' employment, financial condition, assets, true source of down payments, and intention to occupy the property, and for the purpose of executing the scheme caused interstate wire transmissions.

4. It was further part of the scheme that defendant [REDACTED] knowingly recruited individuals, including defendants [REDACTED] and [REDACTED] [REDACTED] as well Individual A through Individual G (collectively the "Nominee Buyers"), to

serve as nominee buyers for condominium units located at [REDACTED]
[REDACTED], and [REDACTED]
[REDACTED] all located in Chicago, Illinois (collectively the "Subject Properties"), some of which
were co-owned by defendant [REDACTED] and to serve as nominee borrowers on mortgage
loans to be used to finance the purchase of those condominium units, knowing that the transactions
would be financed by making false statements to lenders to obtain mortgage loans.

5. It was further part of the scheme that defendants [REDACTED] and [REDACTED]
[REDACTED] and on occasion defendant [REDACTED] knowingly referred the Nominee
Buyers to loan officers, including defendants [REDACTED] and [REDACTED],
to arrange for the Nominee Buyers to fraudulently obtain mortgage loans from lenders to finance the
purchases of the Subject Properties, knowing that false statements would be made to the lenders to
obtain the mortgage loans.

6. It was further part of the scheme that defendant [REDACTED] paid the
Nominee Buyers to purchase the Subject Properties knowing that such payments would be concealed
from the lenders who financed the transactions.

7. It was further part of the scheme that defendants [REDACTED]
[REDACTED]
[REDACTED] and [REDACTED] knowingly prepared and submitted, and caused to be
prepared and submitted, to mortgage lenders, documents containing materially false and fraudulent
representations so that the Nominee Buyers would falsely appear qualified for mortgage loans to
purchase the Subject Properties, including

(a) loan applications that contained materially false and fraudulent

representations concerning, among other things, the Nominee Buyers' income, assets, liabilities, employment, and intention to occupy the Subject Properties; and

- (b) HUD-1 settlement statements that, among other things, failed to disclose the true source of down payments and payments provided to the Nominee Buyers for purchasing the Subject Properties.

8. It was further part of the scheme that defendants [REDACTED] and [REDACTED] [REDACTED] knowingly disbursed, and caused to be disbursed, proceeds of the fraud to themselves and to their companies at the closings of the Subject Properties.

9. It was further part of the scheme that defendant [REDACTED] paid defendant [REDACTED] for fraudulently qualifying certain of the Nominee Buyers knowing that these payments would not be disclosed to the lenders who financed the transactions.

Units Purchased by Defendant [REDACTED]

10. It was further part of the scheme that defendant [REDACTED] recruited defendant [REDACTED] to purchase four condominium units located at [REDACTED] [REDACTED] knowing that the transactions would be financed by making false statements to lenders to obtain mortgage loans.

11. It was further part of the scheme that defendants [REDACTED] and [REDACTED] [REDACTED] knowingly arranged for loan officers, including defendant [REDACTED] to fraudulently qualify defendant [REDACTED] for approximately \$621,922 in mortgage loan proceeds to purchase [REDACTED] knowing that false statements would be made to the lenders to obtain the mortgage loans.

12. It was further part of the scheme that defendants [REDACTED] and [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, loan applications in defendant [REDACTED] name to lenders, including Countrywide Home Loans, Inc., First Magnus Financial Corporation, First Franklin Financial Corporation, and IndyMacBank FSB, in order to fraudulently qualify defendant [REDACTED] for mortgage loans to finance the purchase of Units 3F, 3C, GD, and 2F, which loan applications contained materially false and fraudulent information about defendant [REDACTED] including information that defendant [REDACTED]:

- (a) held Chase bank accounts carrying balances of between \$12,000 and \$25,000; and
- (b) had no ownership interest in any property.

As defendants [REDACTED] knew, the information concerning defendant [REDACTED]'s assets was false.

13. It was further part of the scheme that defendants [REDACTED] and [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, HUD-1 Settlement Statements that, among other things, failed to disclose the true source of down payments and payments made to defendant [REDACTED] or purchasing [REDACTED]

14. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused:

- (a) Countrywide Home Loans, Inc., on or about May 3, 2007, to fund a mortgage

loan in the amount of approximately \$140,849 to finance defendant

[REDACTED] purchase of Unit 3F;

- (b) First Magnus Financial Corporation, on or about May 14, 2007, to fund a mortgage loan in the amount of approximately \$157,177 to finance defendant

[REDACTED] purchase of Unit 3C;

- (c) First Franklin Financial Corporation, on or about June 5, 2007, to fund a mortgage loan in the amount of approximately \$157,966 to finance defendant

[REDACTED] purchase of Unit GD; and

- (d) IndyMac Bank FSB, on or about June 5, 2007, to fund a mortgage loan in the amount of approximately \$165,929 to finance defendant [REDACTED] purchase

o [REDACTED]

15. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused the title company to make the following disbursements of fraudulently obtained mortgage loan proceeds:

- (a) for [REDACTED] approximately \$22,375 to [REDACTED]; approximately \$22,375 to [REDACTED] and approximately \$21,471 to [REDACTED]

[REDACTED]

- (b) for [REDACTED] approximately \$22,375 to [REDACTED] approximately \$22,375 to [REDACTED] and approximately \$17,636 to [REDACTED]

[REDACTED]

- (c) for [REDACTED] approximately \$22,000 to [REDACTED]; approximately

\$22,000 to [REDACTED] and approximately \$21,406 to [REDACTED]
[REDACTED] and

(d) [REDACTED] approximately \$21,910 to [REDACTED]; approximately
\$21,910 to [REDACTED] and approximately \$10,809 to [REDACTED]
[REDACTED]

16. It was further part of the scheme that defendant [REDACTED] knowingly paid
defendant [REDACTED] for each property defendant [REDACTED] purchased without disclosing such
payments to the lenders who financed the transactions.

Units Purchased by Defendant [REDACTED]

17. It was further part of the scheme that defendant [REDACTED] recruited
defendant [REDACTED] to purchase five condominium units located at [REDACTED]
[REDACTED] and three condominium units
located at [REDACTED] knowing that the
transactions would be financed by making false statements to lenders to obtain mortgage loans.

18. It was further part of the scheme that defendants [REDACTED] and [REDACTED]
[REDACTED] knowingly arranged for loan officers, including [REDACTED] and [REDACTED]
[REDACTED] to fraudulently qualify defendant [REDACTED] for approximately \$1,629,746 in
mortgage loans to purchase [REDACTED] knowing that false statements
would be made to the lenders to obtain the mortgage loans.

19. It was further part of the scheme that defendants [REDACTED]
[REDACTED] and [REDACTED]
[REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, loan applications in

defendant [REDACTED] name to lenders, including Fifth Third Mortgage Company, American Broker's Conduit, CitiMortgage, Inc., Lehman Brother's Bank, IndyMac Bank FSB, Washington Mutual Bank, Countrywide Home Loans, Inc., and Wachovia Mortgage Corporation, to finance the purchase of [REDACTED], which loan applications contained materially false and fraudulent information about defendant [REDACTED] including, that defendant [REDACTED]

- (a) intended to use the properties as her primary residence;
- (b) was employed as an "account executive" or "area district manager" at E&H Distributors earning between \$4,800 and \$6,500 gross income per month;
- (c) held bank accounts carrying balances between \$15,000 and \$36,254; and
- (d) had no ownership interest in any property.

As defendants [REDACTED] and [REDACTED] knew, the information concerning defendant [REDACTED] employment, income, assets, and intent to occupy the units was false.

20. It was further part of the scheme that defendants [REDACTED] and [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, HUD-1 Settlement Statements that, among other things, failed to disclose the true source of down payments and payments made to defendant [REDACTED] for purchasing [REDACTED].

21. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] knowingly caused:

- (a) Fifth Third Mortgage Company, on or about June 12, 2007, to fund a

mortgage loan in the amount of approximately \$208,012 to finance defendant

[REDACTED]'s purchase of [REDACTED];

- (b) American Broker's Conduit, on or about June 14, 2007, to fund a mortgage loan in the amount of approximately \$206,049 to finance defendant [REDACTED] purchase of [REDACTED]
- (c) CitiMortgage Inc., on or about June 14, 2007, to fund a mortgage loan in the amount of approximately \$209,554 to finance defendant [REDACTED] purchase of [REDACTED]
- (d) Lehman Brother's Bank, on or about June 15, 2007, to fund a mortgage loan in the amount of approximately \$205,648 to finance defendant [REDACTED] purchase of [REDACTED];
- (e) IndyMac Bank FSB, on or about June 29, 2007, to fund a mortgage loan in the amount of approximately \$226,613 to finance defendant [REDACTED] purchase of [REDACTED]
- (f) Washington Mutual Bank, on or about July 13, 2007, to fund a mortgage loan in the amount of approximately \$196,628 to finance defendant [REDACTED] purchase of [REDACTED]
- (g) Countrywide Home Loans, Inc., on or about July 26, 2007, to fund a mortgage loan in the amount of approximately \$205,407 to finance defendant [REDACTED]'s purchase of [REDACTED] and
- (h) Wachovia Mortgage Corporation, on or about July 27, 2007, to fund a mortgage loan in the amount of approximately \$171,831 to finance defendant

[REDACTED] purchase of [REDACTED]

22. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused the title company to make the following disbursements of fraudulently obtained mortgage loan proceeds:

- (a) for [REDACTED] approximately \$105,381 to [REDACTED]
- (b) for [REDACTED] approximately \$104,695 to [REDACTED]
- (c) for [REDACTED] approximately \$104,977 to [REDACTED]
- (d) for [REDACTED] approximately \$103,542 to [REDACTED]
- (e) for [REDACTED] approximately \$68,000 to [REDACTED]
- (f) for [REDACTED] approximately \$68,500 to [REDACTED]
- (g) for [REDACTED] approximately \$69,500 to [REDACTED] and
- (h) for [REDACTED] approximately \$2,800 to [REDACTED] and approximately \$88,458 to [REDACTED]

23. It was further part of the scheme that defendant [REDACTED] knowingly paid defendant [REDACTED] for each property defendant [REDACTED] purchased without disclosing such payments to the lenders who financed the transactions.

Units Purchased by Defendant [REDACTED]

24. It was further part of the scheme that defendant [REDACTED] recruited defendant [REDACTED] to purchase eight condominium units located at [REDACTED]
[REDACTED] knowing that the transactions would be financed by making false statements to lenders to obtain mortgage loans.

25. It was further part of the scheme that defendants [REDACTED] and [REDACTED] knowingly arranged for loan officers, including [REDACTED] and [REDACTED] to fraudulently qualify defendant [REDACTED] for approximately \$1,369,218 in mortgage loans to purchase [REDACTED] knowing that false statements would be made to the lenders to obtain the mortgage loans.

26. It was further part of the scheme that defendants [REDACTED]
[REDACTED]
[REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, loan applications in defendant [REDACTED] name to lenders, including IndyMac Bank FSB, First Magnus Financial Corporation, CitiMortgage, Inc., Fifth Third Mortgage Company, Countrywide Home Loans, Inc., American Brother's Conduit, Lehman Brothers Bank, and Washington Mutual Bank, to finance the purchase of [REDACTED] which loan applications contained materially false and fraudulent information about defendant [REDACTED] including that defendant [REDACTED]

- (a) intended to use the properties as her primary residence;
- (b) was employed as an "account executive" at E&H Distributors earning between \$5,000 and \$6,000 in gross income per month;
- (c) held bank accounts carrying balances between \$6,100 and \$17,100; and
- (d) had no ownership interest in any property.

As defendants [REDACTED] and [REDACTED] knew, the information concerning defendant [REDACTED] employment, income, assets, and intent to occupy the units was false.

27. It was further part of the scheme that defendants [REDACTED]
[REDACTED] and [REDACTED]
knowingly prepared and submitted, and caused to be prepared and submitted, HUD-1 Settlement
Statements that, among other things, failed to disclose the true source of down payments and
payments made to defendant [REDACTED] for purchasing [REDACTED] and
[REDACTED]

28. It was further part of the scheme that through submission of fraudulent loan
applications and supporting documents, defendants [REDACTED]
[REDACTED] knowingly caused:

- (a) IndyMac Bank FSB, on or about April 30, 2007, to fund a mortgage loan in
the amount of approximately \$177,647 to finance defendant
[REDACTED] purchase of [REDACTED]
- (b) First Magnus Financial Corporation, on or about April 30, 2007, to fund a
mortgage loan in the amount of approximately \$175,052 to finance defendant
[REDACTED] purchase of [REDACTED];
- (c) CitiMortgage Inc., on or about May 3, 2007, to fund a mortgage loan in the
amount of approximately \$171,096 to finance defendant [REDACTED]
purchase of [REDACTED]
- (d) Fifth Third Mortgage Company, on or about May 7, 2007, to fund a mortgage
loan in the amount of approximately \$170,203 to finance defendant
[REDACTED] purchase of [REDACTED]
- (e) Countrywide Home Loans, Inc., on or about May 11, 2007, to fund a

mortgage loan in the amount of approximately \$170,104 to finance defendant

[REDACTED] purchase of [REDACTED]

- (f) American Broker's Conduit, on or about May 14, 2007, to fund a mortgage loan in the amount of approximately \$170,862 to finance defendant [REDACTED] purchase of [REDACTED]

- (g) Lehman Brothers Bank, on or about May 14, 2007, to fund a mortgage loan in the amount of approximately \$175,276 to finance defendant [REDACTED] purchase of [REDACTED] and

- (h) Washington Mutual Bank, on or about June 5, 2007, to fund a mortgage loan in the amount of approximately \$158,975 to finance defendant [REDACTED] purchase of [REDACTED].

29. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused the title company to make the following disbursements of fraudulently obtained mortgage loan proceeds:

- (a) for [REDACTED] approximately \$22,584 to ENH Services Inc.; approximately \$22,584 to Zeal Management LLC; and approximately \$23,211 to [REDACTED]
[REDACTED]
- (b) for [REDACTED] approximately \$22,717 to ENH Services Inc.; approximately \$22,717 to Zeal Management LLC; and approximately \$159 to [REDACTED]
[REDACTED]
- (c) for [REDACTED] approximately \$22,375 to ENH Services Inc.; approximately

\$22,375 to Zeal Management LLC; and approximately \$21,471 to [REDACTED].

- [REDACTED]
- (d) for [REDACTED], approximately \$22,375 to ENH Services Inc.; approximately \$22,375 to Zeal Management LLC; and approximately \$22,471 to [REDACTED]

- [REDACTED]
- (e) for [REDACTED], approximately \$22,375 to ENH Services Inc.; approximately \$23,275 to Zeal Management LLC; and approximately \$21,426 to [REDACTED]

- [REDACTED]
- (f) for [REDACTED], approximately \$22,375 to ENH Services Inc.; approximately \$22,375 to Zeal Management LLC; and approximately \$21,164 to [REDACTED]

- [REDACTED]
- (g) for [REDACTED], approximately \$22,098 to ENH Services Inc.; approximately \$22,098 to Zeal Management LLC; and approximately \$15,723 to [REDACTED]

- [REDACTED]; and
- (h) for [REDACTED], approximately \$22,375 to ENH Services Inc.; approximately \$22,375 to Zeal Management LLC; and approximately \$21,410 to [REDACTED]

[REDACTED]

30. It was further part of the scheme that defendant [REDACTED] knowingly paid defendant [REDACTED] for each property defendant [REDACTED] purchased without disclosing such payments to the lenders who financed the transactions.

Units Purchased By Individual A

31. It was further part of the scheme that defendant [REDACTED] recruited

Individual A to purchase four condominium units located at [REDACTED]

[REDACTED] - knowing that the transactions would be financed by making false statements to lenders to obtain mortgage loans.

32. It was further part of the scheme that defendants [REDACTED] and [REDACTED] [REDACTED] knowingly arranged for loan officers to fraudulently qualify Individual A for approximately \$643,042 in mortgage loans to purchase [REDACTED] knowing that false statements would be made to the lenders to obtain the mortgage loans.

33. It was further part of the scheme that defendants [REDACTED] and [REDACTED] [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, loan applications in Individual A's name to lenders, including Exclusive Bancorp Inc., American Broker's Conduit, National City Mortgage, and Washington Mutual Bank, to finance the purchase of [REDACTED] [REDACTED] which loan applications contained materially false and fraudulent information about Individual A, including that Individual A:

- (a) intended to use the properties as his/her primary residence;
- (b) earned between \$5,200 and \$5,800 gross income per month;
- (c) held a Chase bank account carrying balances between \$10,300 and \$17,000;
- and
- (d) had no ownership interest in any property.

As defendants [REDACTED] and [REDACTED] knew, the information concerning Individual A's income, assets, and intent to occupy the units was false.

34. It was further part of the scheme that defendants [REDACTED] and [REDACTED] [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, HUD-1

Settlement Statements that, among other things, failed to disclose the true source of down payments and payments made to Individual A for purchasing [REDACTED].

35. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused:

- (a) Exclusive Bancorp Inc., on or about April 20, 2007, to fund a mortgage loan in the amount of approximately \$204,250 to finance Individual A's purchase of [REDACTED]
- (b) American Broker's Conduit, on or about April 30, 2007, to fund a mortgage loan in the amount of approximately \$206,669 to finance Individual A's purchase of [REDACTED]
- (c) National City Mortgage, on or about May 11, 2007, to fund a mortgage loan in the amount of approximately \$119,119 to finance Individual A's purchase of [REDACTED] and
- (d) Washington Mutual Bank, on or about July 20, 2007, to fund a mortgage loan in the amount of approximately \$113,003 to finance Individual A's purchase of [REDACTED]

36. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused the title company to make the following disbursements of fraudulently obtained mortgage loan proceeds:

- (a) for [REDACTED] approximately \$33,672 to ENH Services Inc.; approximately

\$17,200 to Zeal Management Group; and approximately \$20,000 to [REDACTED]
[REDACTED]

(b) for [REDACTED] approximately \$27,275 to ENH Services Inc.; approximately \$27,275 to Zeal Management LLC; and approximately \$31,985 to [REDACTED]
[REDACTED]

(c) for [REDACTED] approximately \$20,215 to ENH Services Inc.; approximately \$20,215 to Zeal Management LLC; and approximately \$147 to [REDACTED]
[REDACTED]; and

(d) for [REDACTED] approximately \$73,353 to [REDACTED]

37. It was further part of the scheme that defendant [REDACTED] knowingly paid Individual A for each property Individual A purchased without disclosing such payments to the lenders who financed the transactions.

Units Purchased By Individual B

38. It was further part of the scheme that defendant [REDACTED] recruited Individual B to purchase two condominium units located at [REDACTED]
[REDACTED] knowing that the transactions would be financed by making false statements to lenders to obtain mortgage loans.

39. It was further part of the scheme that defendant [REDACTED]
[REDACTED] knowingly arranged for loan officers to fraudulently qualify Individual B for approximately \$291,050 in mortgage loans to purchase [REDACTED] knowing that false statements would be made to the lenders to obtain the mortgage loans.

40. It was further part of the scheme that defendants [REDACTED]

[REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, loan applications in Individual B's name to lenders, including Countrywide Bank FSB and CitiMortgage, Inc., to finance the purchase of [REDACTED] which loan applications contained materially false and fraudulent information about Individual B, including that Individual B:

- (a) intended to use the properties as his/her primary residence;
- (b) was employed at E&H Distributors as an "officer manager" earning between \$4,650 and \$4,750 gross income per month;
- (c) held a U.S. Bank bank account carrying a balance of \$23,546; and
- (d) had no ownership interest in any property.

As defendants [REDACTED] and [REDACTED] knew, the information concerning Individual B's employment, income, assets, and intent to occupy the units was false.

41. It was further part of the scheme that defendants [REDACTED] [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, HUD-1 Settlement Statements that, among other things, failed to disclose the true source of down payments and payments made to Individual B for purchasing Units GB and 3E.

42. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused:

- (a) Countrywide Bank FSB, on or about October 2, 2007, to fund a mortgage loan in the amount of approximately \$169,794 to finance Individual B's

purchase of [REDACTED] and

- (b) CitiMortgage Inc., on or about October 2, 2007, to fund a mortgage loan in the amount of approximately \$121,255 to finance Individual B's purchase of [REDACTED]

43. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused the title company to make the following disbursements of fraudulently obtained mortgage loan proceeds:

- (a) for [REDACTED] approximately \$66,421 to [REDACTED]; and
- (b) for [REDACTED] approximately \$43,016 to [REDACTED].

44. It was further part of the scheme that defendant [REDACTED] knowingly paid Individual B for each property Individual B purchased without disclosing such payments to the lenders who financed the transactions.

Units Purchased By Individual C

45. It was further part of the scheme that defendant [REDACTED] recruited Individual C to purchase two condominium units located at [REDACTED] [REDACTED] knowing that the transactions would be financed by making false statements to lenders to obtain mortgage loans.

46. It was further part of the scheme that defendants [REDACTED] and [REDACTED] knowingly arranged for loan officers, including defendants [REDACTED] and [REDACTED] to fraudulently qualify Individual C for approximately \$329,185 in mortgage loan proceeds to purchase [REDACTED], knowing that false statements would be made

to the lenders to obtain the mortgage loans.

47. It was further part of the scheme that defendants [REDACTED] [REDACTED] and [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, loan applications in Individual C's name to lenders, including First Magnus Financial Corporation and CitiMortgage, Inc., to finance the purchase of [REDACTED] which loan applications contained materially false and fraudulent information about Individual C, including that Individual C:

- (a) intended to use the properties as his/her primary residence;
- (b) held bank accounts carrying a balance of between \$15,000 and \$30,000; and
- (c) had no ownership interest in any property.

As defendants [REDACTED] and [REDACTED] knew, the information concerning Individual C's assets and intent to occupy the units was false.

48. It was further part of the scheme that defendants [REDACTED] [REDACTED] and [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, HUD-1 Settlement Statements that, among other things, failed to disclose the true source of down payments and payments made to Individual C for purchasing [REDACTED]

49. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] [REDACTED] and [REDACTED] knowingly caused:

- (a) First Magnus Financial Corp., on or about August 3, 2007, to fund a mortgage loan in the amount of approximately \$209,115 to finance Individual

C's purchase of [REDACTED] and

- (b) CitiMortgage Inc., on or about October 10, 2007, to fund a mortgage loan in the amount of approximately \$120,070 to finance Individual C's purchase of [REDACTED]

50. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused the title company to make the following disbursements of fraudulently obtained mortgage loan proceeds:

- (a) for [REDACTED] approximately \$15,250 to defendant [REDACTED] and approximately \$83,127 to [REDACTED] and
- (b) for [REDACTED] approximately \$72,968 to [REDACTED]

51. It was further part of the scheme that defendant [REDACTED] knowingly paid Individual C for each property Individual C purchased without disclosing such payments to the lenders who financed the transactions.

Units Purchased By Individual D

52. It was further part of the scheme that defendant [REDACTED] recruited Individual D to purchase two condominium units located at [REDACTED] [REDACTED] knowing that the transactions would be financed by making false statements to lenders to obtain mortgage loans.

53. It was further part of the scheme that defendants [REDACTED] [REDACTED] and [REDACTED] knowingly arranged for loan officers to fraudulently qualify Individual D for approximately \$462,247 in mortgage loans to purchase [REDACTED] knowing

that false statements would be made to the lenders to obtain the mortgage loans.

54. It was further part of the scheme that defendants [REDACTED], and [REDACTED], knowingly prepared and submitted, and caused to be prepared and submitted, loan applications in Individual D's name to lenders, including CitiMortgage, Inc. and Fifth Third Mortgage Company, to finance the purchase of [REDACTED] which loan applications contained materially false and fraudulent information about Individual D, including that Individual D:

- (a) intended to use the properties as his/her primary residence;
- (b) was employed at [REDACTED] as an "operations manager" earning between \$4,500 and \$4,950 gross income per month;
- (c) held a LaSalle Bank bank account carrying a balance of between \$35,000 and \$42,000; and
- (d) had no ownership interest in any property.

As defendants [REDACTED] and [REDACTED] knew, the information concerning Individual D's employment, income, assets, and intent to occupy the units was false.

55. It was further part of the scheme that defendants [REDACTED], and [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, HUD-1 Settlement Statements that, among other things, failed to disclose the true source of down payments and payments made to Individual D for purchasing [REDACTED]

56. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused:

- (a) CitiMortgage Inc., on or about November 9, 2007, to fund a mortgage loan in the amount of approximately \$231,895 to finance Individual D's purchase of [REDACTED] and
- (b) Fifth Third Mortgage Company, on or about November 15, 2007, to fund a mortgage loan in the amount of approximately \$230,351 to finance Individual D's purchase of [REDACTED]

57. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused title companies to make the following disbursements of fraudulently obtained mortgage loan proceeds:

- (a) for [REDACTED] approximately \$90,850 to [REDACTED] and
- (b) for [REDACTED] approximately \$92,555 to [REDACTED]

58. It was further part of the scheme that defendant [REDACTED] knowingly paid Individual D for each property Individual D purchased without disclosing such payments to the lenders who financed the transactions.

Units Purchased By Individual E

59. It was further part of the scheme that defendant [REDACTED] recruited Individual E to purchase three condominium units located at [REDACTED]
[REDACTED] at [REDACTED]
[REDACTED] knowing that the transactions would be financed by making false statements to lenders to obtain mortgage loans.

60. It was further part of the scheme that defendants [REDACTED]

[REDACTED], and [REDACTED] knowingly arranged for loan officers to fraudulently qualify Individual E for approximately \$711,422 in mortgage loans to purchase the three condominiums, knowing that false statements would be made to the lenders to obtain the mortgage loans.

61. It was further part of the scheme that defendants [REDACTED] [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, loan applications in Individual E's name to lenders, including CitiMortgage, Inc. and Lehman Brothers Bank, to finance the purchase of [REDACTED] at [REDACTED] [REDACTED] which loan applications contained materially false and fraudulent information about Individual E, including that Individual E:

- (a) intended to use the properties as his/her primary residence;
- (b) was employed at [REDACTED] as a "general manager," an "office manager," and an "operations manager" earning between \$6,420 and \$6,950 gross income per month;
- (c) held bank accounts carrying balances of between \$31,152 and \$32,000; and
- (d) had no ownership interest in any property.

As defendant [REDACTED], and [REDACTED] knew, the information concerning Individual E's employment, income, assets, and intent to occupy the units was false.

62. It was further part of the scheme that defendants [REDACTED] [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, HUD-1 Settlement Statements that, among other things, failed to disclose the true source of down payments and payments made to Individual E for purchasing Units 2 and 3 at [REDACTED] at [REDACTED]

63. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused:

- (a) CitiMortgage Inc., on or about December 13, 2007, to fund a mortgage loan in the amount of approximately \$241,605 to finance Individual E's purchase of [REDACTED] at [REDACTED]
- (b) Lehman Brothers Bank, on or about November 26, 2007, to fund a mortgage loan in the amount of approximately \$242,800 to finance Individual E's purchase of [REDACTED] at [REDACTED] and [REDACTED]
- (c) CitiMortgage Inc., on or about March 11, 2008, to fund a mortgage loan in the amount of approximately \$227,016 to finance Individual E's purchase of [REDACTED] at [REDACTED]

64. It was further part of the scheme that through submission of fraudulent loan applications and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused the title company to make the following disbursements of fraudulently obtained mortgage loan proceeds:

- (a) for [REDACTED] at [REDACTED] approximately \$90,975 to [REDACTED]
[REDACTED]
- (b) for [REDACTED] at [REDACTED] approximately \$90,975 to [REDACTED]
[REDACTED] and [REDACTED]
- (c) for [REDACTED] at [REDACTED] approximately \$98,858 to [REDACTED]
[REDACTED]

65. It was further part of the scheme that defendant [REDACTED] knowingly paid Individual E for each property Individual E purchased without disclosing such payments to the lenders who financed the transactions.

Property Purchased By Individual F

66. It was further part of the scheme that defendant [REDACTED] recruited Individual F to purchase a property located at [REDACTED] knowing that the transaction would be financed by making false statements to the lender to obtain a mortgage loan.

67. It was further part of the scheme that defendant [REDACTED] knowingly arranged for a loan officer to fraudulently qualify Individual F for approximately \$1,751,987 in mortgage loan proceeds to purchase [REDACTED] knowing that false statements would be made to the lenders to obtain the mortgage loan.

68. It was further part of the scheme that, on or about January 9, 2008, defendant [REDACTED] knowingly caused to be prepared and submitted a loan application in the name of Individual F to Washington Mutual Bank, in order to fraudulently obtain a mortgage loan in the amount of \$1,751,987 to finance Individual F's purchase of [REDACTED] which loan application contained materially false and fraudulent information about Individual F, including that Individual F:

- (a) intended to use the property as his/her primary residence;
- (b) was employed as the "senior financial operations manager" at [REDACTED] [REDACTED] earning \$20,500 gross income per month; and
- (c) held Citibank bank accounts carrying a combined balance of approximately

\$1,050,000.

As defendant [REDACTED] knew, the information concerning Individual F's employment, income, assets, and intent to occupy the residence was false.

69. It was further part of the scheme that defendants [REDACTED] knowingly caused to be prepared and submitted, a HUD-1 Settlement Statement that, among other things, failed to disclose the true source of the down payment and the payment made to Individual F for purchasing [REDACTED]

70. It was further part of the scheme that, on or about January 9, 2008, as a result of the submission of the fraudulent loan application and supporting documents, defendant [REDACTED] knowingly caused Washington Mutual Bank to fund a mortgage loan in the amount of approximately \$1,751,987 to finance Individual F's purchase of [REDACTED]

Property Purchased By Individual G

71. It was further part of the scheme that defendant [REDACTED] recruited Individual G to purchase a property located at [REDACTED] [REDACTED] knowing that the transaction would be financed by making false statements to the lender to obtain a mortgage loan.

72. It was further part of the scheme that defendants [REDACTED] and [REDACTED] knowingly arranged for a loan officer to fraudulently qualify Individual G for approximately \$1,010,811 in mortgage loan proceeds to purchase [REDACTED] [REDACTED] knowing that false statements would be made to the lenders to obtain the mortgage loan.

73. It was further part of the scheme that, on or about June 24, 2008, defendants [REDACTED] and [REDACTED] knowingly caused to be prepared and submitted a loan application in the name of Individual G to Gateway Capital Mortgage Corporation, in order to fraudulently obtain a mortgage loan in the amount of \$1,010,811 to finance Individual G's purchase of [REDACTED] which loan application contained materially false and fraudulent information about Individual G, including that Individual G:

- (a) intended to use the property as his/her primary residence;
- (b) was employed as the "chief operating officer" at [REDACTED] earning \$20,000 gross income per month; and
- (c) held Citibank bank accounts carrying a combined balance of approximately \$794,500.

As defendants [REDACTED] and [REDACTED] knew, the information concerning Individual G's employment, income, assets, and intent to occupy the residence was false.

74. It was further part of the scheme that defendants [REDACTED] and [REDACTED] knowingly caused to be prepared and submitted, a HUD-1 Settlement Statement that, among other things, failed to disclose the true source of the down payment and the payment made to Individual G for purchasing [REDACTED]

75. It was further part of the scheme that, on or about June 24, 2008, as a result of the submission of the fraudulent loan application and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused Gateway Capital Mortgage Corporation to fund a mortgage loan in the amount of approximately \$1,010,811 to finance Individual G's purchase of [REDACTED]

76. It was further part of the scheme that, on or about June 24, 2008, as a result of the submission of the fraudulent loan application and supporting documents, defendants [REDACTED] and [REDACTED] knowingly caused the title company to make the following disbursement from the fraudulently obtained mortgage proceeds for [REDACTED]: approximately \$336,697 to Vertex Investment Group.

77. It was further part of the scheme that defendants [REDACTED] and [REDACTED] misrepresented, concealed, and hid, and caused to be misrepresented, concealed, and hidden, the true purpose of the acts done in furtherance of the scheme.

78. On or about May 14, 2007, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,

[REDACTED]

defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$170,862 from an American Broker's Conduit account at Deutsche Bank Trust CO Americas to a Traditional Title Company account at LaSalle National Bank, which funds represented the proceeds of a mortgage loan from American Broker's Conduit, to finance the purchase of [REDACTED]

In violation of Title 18, United States Code, Sections 1343 and 2.

COUNT TWO

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.
2. On or about May 14, 2007, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,



defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$175,276 from a Lehman Brothers Bank account at Lehman Brothers Bank FSB to a Traditional Title Company account at LaSalle National Bank, which funds represented the proceeds of a mortgage loan from Lehman Brothers Bank, to finance the purchase of [REDACTED]



In violation of Title 18, United States Code, Sections 1343 and 2.

COUNT THREE

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.

2. On or about May 14, 2007, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,



defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$157,177 from a First Magnus Financial Corporation account at Washington Mutual Bank FA to a Traditional Title Company account at LaSalle National Bank, which funds represented the proceeds of a mortgage loan from First Magnus Financial Corporation, to finance the purchase of [REDACTED]



In violation of Title 18, United States Code, Sections 1343 and 2.

COUNT FOUR

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.
2. On or about June 5, 2007, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,



defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$157,966 from a First Franklin Financial Corporation account at National City Ohio to a Traditional Title Company account at LaSalle National Bank, which funds represented the proceeds of a mortgage loan from First Franklin Financial Corporation, to finance the purchase of [REDACTED]



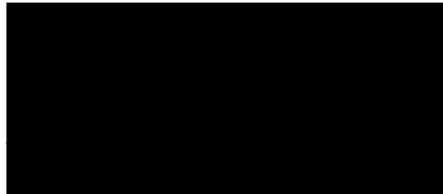
In violation of Title 18, United States Code, Sections 1343 and 2.

COUNT FIVE

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.

2. On or about June 5, 2007, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,



defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$165,929 from an IndyMac Bank FSB account at IndyMac Bank FSB to a Traditional Title Company account at LaSalle National Bank, which funds represented the proceeds of a mortgage loan from IndyMac Bank FSB, to finance the purchase of [REDACTED]



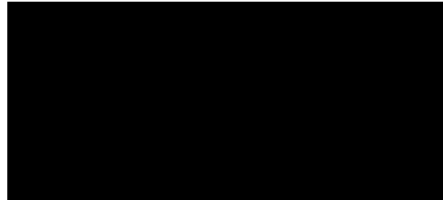
In violation of Title 18, United States Code, Sections 1343 and 2.

COUNT SIX

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.

2. On or about June 5, 2007, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,



defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$158,937 from a Washington Mutual Bank account at Washington Mutual Bank FA to a Traditional Title Company account at LaSalle National Bank, which funds represented proceeds of a mortgage loan from Washington Mutual Bank, to finance the purchase of [REDACTED]



In violation of Title 18, United States Code, Sections 1343 and 2.

COUNT SEVEN

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.
2. On or about June 14, 2007, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,



defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$206,049 from an American Broker's Conduit account at Bank of New York City to a Traditional Title Company account at LaSalle National Bank, which funds represented the proceeds of a mortgage loan from American Broker's Conduit, to finance the purchase of [REDACTED]



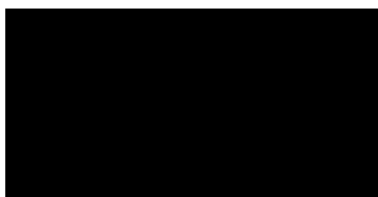
In violation of Title 18, United States Code, Sections 1343 and 2.

COUNT EIGHT

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.

2. On or about June 14, 2007, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,



defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$209,554 from a CitiMortgage Inc. account at Citibank NA to a Traditional Title Company account at LaSalle Bank, which funds represented the proceeds of a mortgage loan from CitiMortgage Inc., to finance the purchase of [REDACTED]

In violation of Title 18, United States Code, Sections 1343 and 2.

COUNT NINE

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.

2. On or about July 27, 2007, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,



defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$171,831 from a Wachovia Mortgage Corporation account at Wachovia Bank NA to a Professional National Title Network account at Fifth Third Bank, which funds represented the proceeds of a mortgage loan from Wachovia Mortgage Corporation, to finance the purchase of [REDACTED]



In violation of Title 18, United States Code, Sections 1343 and 2.

COUNT TEN

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.

2. On or about January 9, 2008, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,

[REDACTED]
defendant herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$1,751,987 from a Washington Mutual Bank account at Washington Mutual Bank, to a Traditional Title Company account at LaSalle National Bank, which funds represented the proceeds of a mortgage loan from Washington Mutual Bank, to finance the purchase of [REDACTED]
[REDACTED]

In violation of Title 18, United States Code, Section 1343.

COUNT ELEVEN

The SPECIAL SEPTEMBER 2011 GRAND JURY further charges:

1. The allegations in paragraphs 1 through 77 of Count One of this indictment are incorporated here.

2. On or about June 25, 2008, at Chicago, in the Northern District of Illinois, Eastern Division, and elsewhere,

[REDACTED]

defendants herein, for the purpose of executing the aforesaid scheme to defraud, knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$1,010,811 from a Gateway Capital Mortgage Corporation account at Flagstar Bank FSB to a Primary Title Services account at Amcore Bank, which funds represented the proceeds of a mortgage loan from Gateway Capital Mortgage Corporation, to finance the purchase of [REDACTED]

[REDACTED]

In violation of Title 18, United States Code, Sections 1343 and 2.

FORFEITURE ALLEGATION

The SPECIAL SEPTEMBER 2011 GRAND JURY further alleges:

1. The allegations in Counts One through Eleven of this indictment are realleged here for the purpose of alleging forfeiture to the United States pursuant to Title 28, United States Code, Section 2461(c) and Title 18, United States Code, Section 981(a)(1)(C).

2. As a result of the violations as alleged in Counts One through Eleven of the foregoing indictment,



defendants herein, shall forfeit to the United States any and all right, title, and interest they may have in any property, real and personal, which constitutes and is derived from proceeds traceable to the offenses charged in Counts One through Eleven.

3. The interests of the defendants subject to forfeiture to the United States pursuant to Title 18, United States Code, Section 981(a)(1)(C) as incorporated by Title 28, United States Code, Section 2461(c), include but are not limited to approximately \$8,820,630.

4. If any of the forfeitable property described above, as a result of any act or omission by defendants:

- (a) cannot be located upon the exercise of due diligence;
- (b) has been transferred or sold to, or deposited with, a third party;
- (c) has been placed beyond the jurisdiction of the court;

- (d) has been substantially diminished in value; or
- (e) has been commingled with other property which cannot be divided without difficulty;

the United States of America shall be entitled to forfeiture of substitute property pursuant to the provisions of Title 21, United States Code, Section 853(p), as incorporated by Title 28, United States Code, Section 2461(c);

All pursuant to Title 28, United States Code, Section 2461(c) and Title 18, United States Code, Section 981(a)(1)(C).

A TRUE BILL:

FOREPERSON

UNITED STATES ATTORNEY

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

UNITED STATES OF AMERICA)

vs.)

No [REDACTED]

Judge Ronald A. Guzman)

FILED
AUG 05 2013
RONALD A. GUZMAN, JUDGE
UNITED STATES DISTRICT COURT
8-5-2013

PLEA AGREEMENT

1. This Plea Agreement between the United States Attorney for the Northern District of Illinois, GARY S. SHAPIRO, and defendant [REDACTED] and his attorney, [REDACTED] is made pursuant to Rule 11 of the Federal Rules of Criminal Procedure and is governed in part by Rule 11(c)(1)(A), as more fully set forth below. The parties to this Agreement have agreed upon the following:

Charges in This Case

2. The indictment in this case charges defendant with wire fraud, in violation of Title 18, United States Code, Section 1343 (Counts Three through Five).
3. Defendant has read the charges against him contained in the indictment, and those charges have been fully explained to him by his attorney.
4. Defendant fully understands the nature and elements of the crimes with which he has been charged.

Charge to Which Defendant Is Pleading Guilty

5. By this Plea Agreement, defendant agrees to enter a voluntary plea of guilty to the following count of the indictment: Count Three, which charges defendant with wire fraud in connection with a mortgage fraud scheme to defraud financial institutions and lenders in the business of issuing mortgage loans to borrowers, in violation of Title 18, United States Code, Section 1343.

Factual Basis

6. Defendant will plead guilty because he is in fact guilty of the charge contained in Count Three of the indictment. In pleading guilty, defendant admits the following facts and that those facts establish his guilt beyond a reasonable doubt and constitute relevant conduct pursuant to Guideline § 1B1.3:

From 2006 through 2008, in the Chicago area, defendant [REDACTED] along with co-defendants [REDACTED] and [REDACTED] and others, devised and participated in a scheme to defraud and to obtain money and property from lenders by means of materially false and fraudulent pretenses, representations, and promises, and by material omissions, which scheme affected financial institutions, and for purposes of executing the scheme caused interstate wire communications to be transmitted.

During the scheme [REDACTED] worked as a police officer for the Chicago Police Department and resided in an apartment that he rented from [REDACTED]

Prior to the scheme, [REDACTED] and his former wife had purchased, and later sold, a house in the Chicago area. In order to finance their purchase, [REDACTED] and his former wife applied for and obtained a mortgage loan.

In spring 2007 [REDACTED] recruited [REDACTED] to purchase four condominium units located at [REDACTED] [REDACTED] knew that the transactions would be financed by making false statements to lenders to obtain mortgage loans.

[REDACTED] arranged for loan officers, including [REDACTED], to fraudulently qualify [REDACTED] for mortgage loan proceeds to purchase [REDACTED], knowing that false statements would be made to the lenders to obtain the mortgage loans. Specifically, [REDACTED] and [REDACTED] knowingly prepared and submitted, and caused to be prepared and submitted, loan applications in [REDACTED] name to lenders, including Countrywide Home Loans, Inc., First Magnus Financial Corporation, and First Franklin Financial Corporation, and a financial institution insured by the Federal Deposit Insurance Corporation, IndyMacBank FSB, in order to fraudulently qualify [REDACTED] for mortgage loans to finance the purchase of the four units. [REDACTED] signed the four loan applications knowing that each contained materially false and fraudulent information about [REDACTED] including information that [REDACTED]

- (a) [REDACTED] held Chase bank accounts carrying balances of between \$12,000 and \$25,000; and
- (b) [REDACTED] had no ownership interest in any property.

As [REDACTED] knew, the information concerning his assets was false.

[REDACTED] was aware that HUD-1 settlement statements he signed at closings failed to disclose the true source of earnest money and down payments. Specifically, prior to the first closing, [REDACTED] instructed [REDACTED] to sign paperwork in order to add [REDACTED] to an existing bank account to which [REDACTED] had contributed no money. [REDACTED] also agreed to open a new bank account, for which [REDACTED] provided the deposit money, and [REDACTED] contributed no money to this new account. Then, prior to each closing, [REDACTED] instructed [REDACTED] to obtain cashier's checks

drawn from those two bank accounts and to bring the checks to each closing to serve as the down payment.

For his participation in this scheme, [REDACTED] paid [REDACTED] approximately \$3,000 for each property he purchased, totaling approximately \$12,000. [REDACTED] knew that the HUD-1 settlement statements failed to disclose these payments to the lenders who financed the transactions.

The false information that [REDACTED] knew was being submitted to lenders was material to lenders' decisions to approve and fund loans. Moreover, lenders sold mortgage loans to other successor lenders and institutions. Lenders disclosed that the loans could be sold and the likelihood that they would be sold to these successor lenders and institutions. The false information that [REDACTED] knew was being submitted was also material to the decision of these successor lenders and institutions to purchase the mortgage loans.

As charged in Count Three, on or about May 14, 2007, for the purpose of executing the scheme [REDACTED] knowingly caused to be transmitted by means of wire communication in interstate commerce, certain writings, signs, and signals, namely, an interstate wire transfer through the Federal Reserve system of approximately \$157,177 from a First Magnus Financial Corporation account at Washington Mutual Bank FA to a Traditional Title Company account at LaSalle National Bank, which funds represented the proceeds of a mortgage loan from First Magnus Financial Corporation, to finance the purchase of [REDACTED]

In total, [REDACTED] with his co-defendants and others, fraudulently obtained approximately four mortgage loans totaling at least approximately \$621,250 from lenders, including a financial institution. As a result, the lenders, including the financial institution, sustained losses of approximately \$499,040.

Maximum Statutory Penalties

7. Defendant understands that the charge to which he is pleading guilty carries the following statutory penalties:

a. A maximum sentence of 30 years' imprisonment. This offense also carries a maximum fine of \$1,000,000, or twice the gross gain or gross loss resulting from that offense, whichever is greater. Defendant further understands that the judge also may impose a term of supervised release of not more than five years.

b. Defendant further understands that the Court must order restitution to the victims of the offense in an amount determined by the Court.

c. In accord with Title 18, United States Code, Section 3013, defendant will be assessed \$100 on the charge to which she has pled guilty, in addition to any other penalty imposed or restitution imposed.

Sentencing Guidelines Calculations

8. Defendant understands that in imposing sentence the Court will be guided by the United States Sentencing Guidelines. Defendant understands that the Sentencing Guidelines are advisory, not mandatory, but that the Court must consider the Guidelines in determining a reasonable sentence.

9. For purposes of calculating the Sentencing Guidelines, the parties agree on the following points:

a. **Applicable Guidelines.** The Sentencing Guidelines to be considered in this case are those in effect at the time of sentencing. The following statements regarding the calculation

of the Sentencing Guidelines are based on the Guidelines Manual currently in effect, namely the November 2012 Guidelines Manual.

b. Offense Level Calculations.

i. The base offense level is 7, pursuant to Guideline § 2B1.1.

ii. The base offense level is increased by 14 levels because the loss amount is approximately \$499,040, which is more than \$400,000 and less than \$1,000,000, pursuant to Guideline § 2B1.1(b)(1)(H). Pursuant to Application Notes 3(E)(ii) and (iii) to Guideline § 2B1.1, because some of the properties identified in the indictment have not been resold by the victims, the loss amount is determined by subtracting the fair market value of the property from the amount of the loan. Defendant understands that the government's loss amount figure and, therefore, the government's position as to defendant's offense level and Guidelines range may increase, prior to sentencing, if any of the unsold properties are sold and losses are incurred by the victim/lender who financed the mortgage loans.

iii. The base offense level is increased by 2 levels because the offense involved sophisticated means, including placing straw purchasers on multiple bank accounts and fabricating employment, job titles, and income for the straw purchasers for purposes of the loan applications, pursuant to Guideline § 2B1.1(b)(10)(C).

iv. Accordingly, the adjusted offense level for Count Three is 23.

v. Defendant has clearly demonstrated a recognition and affirmative acceptance of personal responsibility for his criminal conduct. If the government does not receive additional evidence in conflict with this provision, and if defendant continues to accept responsibility for his actions within the meaning of Guideline § 3E1.1(a), including by furnishing the United States

Attorney's Office and the Probation Office with all requested financial information relevant to his ability to satisfy any fine or restitution that may be imposed in this case, a two-level reduction in the offense level is appropriate.

vi. In accord with Guideline § 3E1.1(b), defendant has timely notified the government of his intention to enter a plea of guilty, thereby permitting the government to avoid preparing for trial and permitting the Court to allocate its resources efficiently. Therefore, as provided by Guideline § 3E1.1(b), if the Court determines the offense level to be 16 or greater prior to determining that defendant is entitled to a two-level reduction for acceptance of responsibility, the government will move for an additional one-level reduction in the offense level.

c. **Criminal History Category.** With regard to determining defendant's criminal history points and criminal history category, based on the facts now known to the government, defendant's criminal history points equal zero and defendant's criminal history category is I.

d. **Anticipated Advisory Sentencing Guidelines Range.** Therefore, based on the facts now known to the government, the anticipated offense level is 20, which, when combined with the anticipated criminal history category of I, results in an anticipated advisory Sentencing Guidelines range of 33 to 41 months' imprisonment, in addition to any supervised release and fine or restitution the Court may impose.

e. Defendant and his attorney and the government acknowledge that the above Guideline calculations are preliminary in nature, and are non-binding predictions upon which neither party is entitled to rely. Defendant understands that further review of the facts or applicable legal principles may lead the government to conclude that different or additional Guideline provisions apply in this case. Defendant understands that the Probation Office will conduct its own investigation

and that the Court ultimately determines the facts and law relevant to sentencing, and that the Court's determinations govern the final Guideline calculation. Accordingly, the validity of this Agreement is not contingent upon the probation officer's or the Court's concurrence with the above calculations, and defendant shall not have a right to withdraw his plea on the basis of the Court's rejection of these calculations.

f. Both parties expressly acknowledge that this Agreement is not governed by Fed. R. Crim. P. 11(c)(1)(B), and that errors in applying or interpreting any of the Sentencing Guidelines may be corrected by either party prior to sentencing. The parties may correct these errors either by stipulation or by a statement to the Probation Office or the Court, setting forth the disagreement regarding the applicable provisions of the Guidelines. The validity of this Agreement will not be affected by such corrections, and defendant shall not have a right to withdraw his plea, nor the government the right to vacate this Agreement, on the basis of such corrections.

Agreements Relating to Sentencing

10. Each party is free to recommend whatever sentence it deems appropriate.

11. It is understood by the parties that the sentencing judge is neither a party to nor bound by this Agreement and may impose a sentence up to the maximum penalties as set forth above. Defendant further acknowledges that if the Court does not accept the sentencing recommendation of the parties, defendant will have no right to withdraw his guilty plea.

12. Regarding restitution, pursuant to Title 18, United States Code, § 3663A, the Court must order defendant to make full restitution in the amount outstanding at the time of sentencing. The loss resulting from mortgage loans fraudulently obtained to finance the defendant's purchase of condominium [REDACTED] at [REDACTED] is

\$499,040, minus any credit for funds repaid prior to sentencing. It is the defendant's position that, pursuant to Title 18, United States Code, § 3664(h), the Court should apportion his liability to pay restitution among the three co-defendants also responsible to pay restitution on the loss resulting from the above-described mortgage loans based upon the defendant's contribution to the loss and that defendant should be ordered to pay restitution in the amount of no more than \$124,760.

13. Restitution shall be due immediately, and paid pursuant to a schedule to be set by the Court at sentencing. Defendant acknowledges that pursuant to Title 18, United States Code, Section 3664(k), he is required to notify the Court and the United States Attorney's Office of any material change in economic circumstances that might affect her ability to pay restitution.

14. Defendant agrees to pay the special assessment of \$100 at the time of sentencing with a cashier's check or money order payable to the Clerk of the U.S. District Court.

15. After sentence has been imposed on the count to which defendant pleads guilty as agreed herein, the government will move to dismiss the remaining counts, as well as the forfeiture count, of the indictment as to defendant.

Acknowledgments and Waivers Regarding Plea of Guilty

Nature of Agreement

16. This Agreement is entirely voluntary and represents the entire agreement between the United States Attorney and defendant regarding defendant's criminal liability in case [REDACTED]

17. This Agreement concerns criminal liability only. Except as expressly set forth in this Agreement, nothing herein shall constitute a limitation, waiver, or release by the United States or any of its agencies of any administrative or judicial civil claim, demand, or cause of action it may have against defendant or any other person or entity. The obligations of this Agreement are limited

to the United States Attorney's Office for the Northern District of Illinois and cannot bind any other federal, state, or local prosecuting, administrative, or regulatory authorities, except as expressly set forth in this Agreement.

Waiver of Rights

18. Defendant understands that by pleading guilty he surrenders certain rights, including the following:

a. **Trial rights.** Defendant has the right to persist in a plea of not guilty to the charges against him, and if he does, he would have the right to a public and speedy trial.

i. The trial could be either a jury trial or a trial by the judge sitting without a jury. However, in order that the trial be conducted by the judge sitting without a jury, defendant, the government, and the judge all must agree that the trial be conducted by the judge without a jury.

ii. If the trial is a jury trial, the jury would be composed of twelve citizens from the district, selected at random. Defendant and his attorney would participate in choosing the jury by requesting that the Court remove prospective jurors for cause where actual bias or other disqualification is shown, or by removing prospective jurors without cause by exercising peremptory challenges.

iii. If the trial is a jury trial, the jury would be instructed that defendant is presumed innocent, that the government has the burden of proving defendant guilty beyond a reasonable doubt, and that the jury could not convict him unless, after hearing all the evidence, it was persuaded of his guilt beyond a reasonable doubt and that it was to consider each count of the

indictment separately. The jury would have to agree unanimously as to each count before it could return a verdict of guilty or not guilty as to that count.

iv. If the trial is held by the judge without a jury, the judge would find the facts and determine, after hearing all the evidence, and considering each count separately, whether or not the judge was persuaded that the government had established defendant's guilt beyond a reasonable doubt.

v. At a trial, whether by a jury or a judge, the government would be required to present its witnesses and other evidence against defendant. Defendant would be able to confront those government witnesses and his attorney would be able to cross-examine them.

vi. At a trial, defendant could present witnesses and other evidence in his own behalf. If the witnesses for defendant would not appear voluntarily, he could require their attendance through the subpoena power of the Court. A defendant is not required to present any evidence.

vii. At a trial, defendant would have a privilege against self-incrimination so that he could decline to testify, and no inference of guilt could be drawn from his refusal to testify. If defendant desired to do so, he could testify in his own behalf.

viii. With respect to forfeiture, defendant understands that if the case were tried before a jury, he would have a right to retain the jury to determine whether the government had established the requisite nexus between defendant's offense and any specific property alleged to be subject to forfeiture.

b. **Appellate rights.** Defendant further understands he is waiving all appellate issues that might have been available if he had exercised his right to trial, and may only appeal the

validity of this plea of guilty and the sentence imposed. Defendant understands that any appeal must be filed within 14 calendar days of the entry of the judgment of conviction.

c. Defendant understands that by pleading guilty he is waiving all the rights set forth in the prior paragraphs, with the exception of the appellate rights specifically preserved above. Defendant's attorney has explained those rights to him, and the consequences of his waiver of those rights.

Presentence Investigation Report/Post-Sentence Supervision

19. Defendant understands that the United States Attorney's Office in its submission to the Probation Office as part of the Pre-Sentence Report and at sentencing shall fully apprise the District Court and the Probation Office of the nature, scope, and extent of defendant's conduct regarding the charges against him, and related matters. The government will make known all matters in aggravation and mitigation relevant to sentencing.

20. Defendant agrees to truthfully and completely execute a Financial Statement (with supporting documentation) prior to sentencing, to be provided to and shared among the Court, the Probation Office, and the United States Attorney's Office regarding all details of his financial circumstances, including his recent income tax returns as specified by the probation officer. Defendant understands that providing false or incomplete information, or refusing to provide this information, may be used as a basis for denial of a reduction for acceptance of responsibility pursuant to Guideline § 3E1.1 and enhancement of his sentence for obstruction of justice under Guideline § 3C1.1, and may be prosecuted as a violation of Title 18, United States Code, Section 1001 or as a contempt of the Court.

21. For the purpose of monitoring defendant's compliance with his obligations to pay a fine or restitution during any term of supervised release or probation to which defendant is sentenced, defendant further consents to the disclosure by the IRS to the Probation Office and the United States Attorney's Office of defendant's individual income tax returns (together with extensions, correspondence, and other tax information) filed subsequent to defendant's sentencing, to and including the final year of any period of supervised release or probation to which defendant is sentenced. Defendant also agrees that a certified copy of this Agreement shall be sufficient evidence of defendant's request to the IRS to disclose the returns and return information, as provided for in Title 26, United States Code, Section 6103(b).

Other Terms

22. Defendant agrees to cooperate with the United States Attorney's Office in collecting any unpaid fine or restitution for which defendant is liable, including providing financial statements and supporting records as requested by the United States Attorney's Office.

Conclusion

23. Defendant understands that this Agreement will be filed with the Court, will become a matter of public record, and may be disclosed to any person.

24. Defendant understands that his compliance with each part of this Agreement extends throughout the period of his sentence, and failure to abide by any term of the Agreement is a violation of the Agreement. Defendant further understands that in the event he violates this Agreement, the government, at its option, may move to vacate the Agreement, rendering it null and void, and thereafter prosecute defendant not subject to any of the limits set forth in this Agreement, or may move to resentence defendant or require defendant's specific performance of this Agreement.

Defendant understands and agrees that in the event that the Court permits defendant to withdraw from this Agreement, or defendant breaches any of its terms and the government elects to void the Agreement and prosecute defendant, any prosecutions that are not time-barred by the applicable statute of limitations on the date of the signing of this Agreement may be commenced against defendant in accordance with this paragraph, notwithstanding the expiration of the statute of limitations between the signing of this Agreement and the commencement of such prosecutions.

25. Should the judge refuse to accept defendant's plea of guilty, this Agreement shall become null and void and neither party will be bound to it.

26. Defendant and his attorney acknowledge that no threats, promises, or representations have been made, nor agreements reached, other than those set forth in this Agreement, to cause defendant to plead guilty.

27. Defendant acknowledges that he has read this Agreement and carefully reviewed each provision with his attorney. Defendant further acknowledges that he understands and voluntarily accepts each and every term and condition of this Agreement.

AGREED THIS DATE: 8-5-13

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

**PERSONNEL ACTION REQUEST
CHICAGO POLICE DEPARTMENT**

MEMBER TO BE AFFECTED (LAST NAME - FIRST - M.I.)

WELCH, JOHN W.

STAR / BADGE NO.

7551

TODAY'S DATE

04 SEP 13

EMPLOYEE NO.

UNIT ASSIGNED

015/376

EFFECTIVE DATE

04 SEP 13

JOB TITLE

POLICE OFFICER

TYPE OF ACTION

CHECK TYPE OF ACTION HERE (DO NOT CHECK MORE THAN ONE)	INFORMATION REQUIRED (ENTER INFORMATION IN "REMARKS SECTION" BELOW)	SIGNATURES REQUIRED
EXCUSED WITHOUT PAY - DISCIPLINARY	GIVE EFFECTIVE DATE, CIRCUMSTANCES & C.R. NO.	UNIT C.O.
EXCUSED WITHOUT PAY - NON-DISCIPLINARY	GIVE EFFECTIVE DATE & CIRCUMSTANCES.	UNIT C.O.
ABSENCE WITHOUT PAY - AWOP	GIVE EFFECTIVE DATE & CIRCUMSTANCES. STATE WHETHER OR NOT MEMBER NOTIFIED SUPERVISOR.	UNIT C.O.
TERMINATION - JOB ABANDONMENT	GIVE EFFECTIVE DATE: F.O.P. - ACTION TAKEN AFTER 4 CONSECUTIVE WORKDAYS AWOP AFSCME & UNIT II - ACTION TAKEN AFTER 5 CONSECUTIVE WORKDAYS AWOP	UNIT C.O., AREA CHIEF OR DIVISION C.O.
LEAVE, DISABILITY PENSION - SWORN ONLY	ATTACH MEDICAL REPORTS, COMPLETE REVERSE SIDE.	MEMBER, MEDICAL DIRECTOR
LEAVE, MILITARY (PAID ENCAMPMT.-14 DAYS MAX.)	GIVE DATES, ATTACH COPY OF OFFICIAL ORDER, COMPLETE REVERSE SIDE.	MEMBER, UNIT C.O., AREA CHIEF OR DIVISION C.O.
LEAVE, MILITARY - WITHOUT PAY	GIVE DATES, ATTACH COPY OF OFFICIAL ORDERS, COMPLETE REVERSE SIDE. IF OVER 29 DAYS, ALSO ATTACH PER-73 (CITY REQUEST FOR LEAVE) AND PER-78 (EXIT INTERVIEW REPORT).	MEMBER, UNIT C.O., AREA CHIEF OR DIVISION C.O.
UNPAID ABSENCE (29 DAYS AND UNDER) - NO INSURANCE BENEFITS	GIVE REASON & RETURN DATE, COMPLETE AND SIGN REVERSE SIDE.	MEMBER, UNIT C.O., AREA CHIEF OR DIVISION C.O.
LEAVE, OTHER (30 DAYS AND OVER)	GIVE REASON & LENGTH OF LEAVE REQUESTED, COMPLETE REVERSE SIDE. ATTACH PER-73, (CITY REQUEST FOR LEAVE) AND PER-78 (EXIT INTERVIEW REPORT).	MEMBER, UNIT C.O., AREA CHIEF OR DIVISION C.O., DEP. SUPT., B.A.S.
LEAVE, EXTENSION OF	GIVE DATES & REASON, COMPLETE REVERSE SIDE. ATTACH PER-73 (CITY REQUEST FOR LEAVE).	MEMBER
MARRIAGE LEAVE	GIVE DATES REQUESTED FOR LEAVE, DATE OF CEREMONY & SPOUSE'S NAME	MEMBER, UNIT C.O.
NAME CHANGE	GIVE NEW NAME, IF OTHER THAN BY MARRIAGE, ATTACH VERIFICATION / EXPLANATION	MEMBER, UNIT C.O.
RETIREMENT	GIVE EFFECTIVE DATE ATTACH PER-78 (EXIT INTERVIEW REPORT).	MEMBER, UNIT C.O., AREA CHIEF OR DIVISION C.O.
RESIGNATION	GIVE EFFECTIVE DATE & REASON AS SOON AS RESIGNATION IS ACTED ON BY THE COMMANDING OFFICER, THE COMMANDING OFFICER WILL NOTIFY THE INTERNAL AFFAIRS DIVISION AND THE PAYROLL / FINANCE DIVISION BY PAX TELEPHONE	MEMBER, UNIT C.O., AREA CHIEF OR DIVISION C.O.
SEPARATION TO ACCEPT OTHER CITY POSITION / TITLE	GIVE EFFECTIVE DATE, NEW JOB TITLE & NAME OF NEW CITY DEPARTMENT	MEMBER, UNIT C.O., AREA CHIEF OR DIVISION C.O.
DEATH IN FAMILY	GIVE DATES & RELATIONSHIP TO DECEASED	UNIT C.O.
TRANSFER REQUEST	COMPLETE PERSONNEL TRANSFER & ASSIGNMENT SECTION BELOW	MEMBER, UNIT C.O., AREA CHIEF OR DIVISION C.O., DEP. SUPT.
RECOGNIZED OPENING BID (FOP)	COMPLETE PERSONNEL TRANSFER & ASSIGNMENT SECTION BELOW	MEMBER
RECOGNIZED VACANCY BID (FOP)	COMPLETE PERSONNEL TRANSFER & ASSIGNMENT SECTION BELOW	MEMBER
DECERTIFICATION	X SEE REMARKS	

PERSONNEL TRANSFER & ASSIGNMENT SECTION

UNIT OF ASSIGNMENT REQUESTED	HOME ADDRESS	HOME TELEPHONE NO.	SENIORITY DATE	TITLE CODE	GRADE
DATE ASSIGNED TO PRESENT UNIT	DATE OF BIRTH	<input type="checkbox"/> UNIT NOTICE OF RECOGNIZED OPENING NO. <input type="checkbox"/> RECOGNIZED VACANCY LISTING ADMINISTRATIVE MESSAGE FACSIMILE NETWORK NO.:		POSITION REQUESTED	
DATE SUBMITTED	TIME SUBMITTED	COMMANDING OFFICER / WATCH COMMANDER'S SIGNATURE			STAR NO.

REMARKS SECTION

MEMBER DECERTIFIED BY OPERATION OF LAW.

CHICAGO POLICE

4 SEP 2013 41

HUMAN RESOURCE DIVISION

SIGNATURES

SIGNATURE OF MEMBER (IF REQUIRED)	<input type="checkbox"/> RECOMMEND APPROVAL <input type="checkbox"/> DISAPPROVAL	SIGNATURE & TITLE
<input type="checkbox"/> RECOMMEND APPROVAL <input type="checkbox"/> DISAPPROVAL	<input type="checkbox"/> RECOMMEND APPROVAL <input type="checkbox"/> DISAPPROVAL	SIGNATURE & TITLE
FOR PERSONNEL DIVISION / BUREAU OF ADMINISTRATIVE SERVICES USE ONLY		
<input type="checkbox"/> RECOMMEND APPROVAL <input type="checkbox"/> DISAPPROVAL	<input checked="" type="checkbox"/> APPROVED <input type="checkbox"/> DISAPPROVED	SIGNATURE

Tracey R. Ladner

COMMENTS

I.A.D. CLEARANCE AS OF:

Bureau of Administration
Human Resources Division

04 September 2013

TO: Unit Commanding Officer
Unit 376

Frank Wilson
Director
Finance Division
Attn: Payroll Section

FROM: Sgt. Kenneth A. Johnson #1743
Human Resources Division

SUBJECT: Sworn Member Weapon Restriction: Pay Status

Affected Member	Police Officer John W. Welch
Star:	7551
Employee Number	[REDACTED]
Unit Assigned/Detailed	015/376

The above listed member currently has a judicial or legislative restriction on the possession of firearms. All sworn members are required to be able to possess firearms in order to fulfill their police obligations. The following applies to the above listed member as a result of this restriction.

- ☒ Member is in a no pay status. PAR forms will be completed by the Personnel Division.
- ☐ Member is in a no pay status. Member has been granted the option of utilizing previously earned elective time under strict conditions. Note attached documentation and conditions.
- ☐ Member must take a leave of absence prior to exhausting all elective time or will be considered AWOP.
- ☐ Member will not be carried 'Excused non disciplinary.'
- ☐ Member is returned from no pay status and is detailed to Unit 376 upon removal or release of court ordered restrictions.
- ☐ Member may not use the Medical Roll.



Sgt. Kenneth A. Johnson #1743
Human Resources Division

HUMAN RESOURCE DIVISION

-4 SEP 2013 41

CHICAGO POLICE
PROPERTY

15

UNITED STATES DISTRICT COURT

Northern District of Illinois

UNITED STATES OF AMERICA

v.

[REDACTED]

JUDGMENT IN A CRIMINAL CASE

Case Number:

[REDACTED]

USM Number:

[REDACTED]

Mohammed G Ahmed

Defendant's Attorney

THE DEFENDANT:

☒ pleaded guilty to count(s) Three (3)

☐ pleaded nolo contendere to count(s) _____
which was accepted by the court.

☐ was found guilty on count(s) _____
after a plea of not guilty.

The defendant is adjudicated guilty of these offenses:

<u>Title & Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
18 U.S.C. Section 1343	Wire Fraud	5/14/2007	3

The defendant is sentenced as provided in pages 2 through 8 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

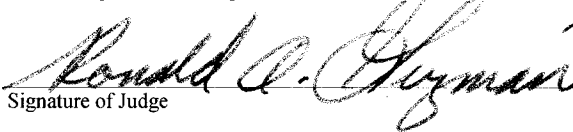
☐ The defendant has been found not guilty on count(s) _____

☒ Count(s) All remaining courts ☐ is ☒ are dismissed on the motion of the United States.

It is ordered that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States Attorney of material changes in economic circumstances.

May 1, 2014

Date of Imposition of Judgment



Signature of Judge

Ronald A. Guzman, United States District Judge

Name and Title of Judge

7/15/2014

Date

DEFENDANT: [REDACTED]
CASE NUMBER: [REDACTED]

IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of:

Twelve (12) Months.

☐ The court makes the following recommendations to the Bureau of Prisons:

☐ The defendant is remanded to the custody of the United States Marshal.

The defendant shall surrender to the United States Marshal for this district:

☐ at _____ ☐ a.m. ☐ p.m. on _____.

☐ as notified by the United States Marshal.

☒ The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:

☒ before 2 p.m. on June 30, 2014.

☐ as notified by the United States Marshal.

☐ as notified by the Probation or Pretrial Services Office.

RETURN

I have executed this judgment as follows:

Defendant delivered on _____ to _____

a _____, with a certified copy of this judgment.

UNITED STATES MARSHAL

By _____
DEPUTY UNITED STATES MARSHAL

DEFENDANT: [REDACTED]
CASE NUMBER: [REDACTED]**SUPERVISED RELEASE**

Upon release from imprisonment, the defendant shall be on supervised release for a term of : Two (2) Years.

The defendant must report to the probation office in the district to which the defendant is released within 72 hours of release from the custody of the Bureau of Prisons.

The defendant shall not commit another federal, state or local crime.

The defendant shall not unlawfully possess a controlled substance. The defendant shall refrain from any unlawful use of a controlled substance. The defendant shall submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as determined by the court.

- ☐ The above drug testing condition is suspended, based on the court's determination that the defendant poses a low risk of future substance abuse. *(Check, if applicable.)*
- ☒ The defendant shall not possess a firearm, ammunition, destructive device, or any other dangerous weapon. *(Check, if applicable.)*
- ☒ The defendant shall cooperate in the collection of DNA as directed by the probation officer. *(Check, if applicable.)*
- ☐ The defendant shall comply with the requirements of the Sex Offender Registration and Notification Act (42 U.S.C. § 16901, *et seq.*) as directed by the probation officer, the Bureau of Prisons, or any state sex offender registration agency in which he or she resides, works, is a student, or was convicted of a qualifying offense. *(Check, if applicable.)*
- ☐ The defendant shall participate in an approved program for domestic violence. *(Check, if applicable.)*

If this judgment imposes a fine or restitution, it is a condition of supervised release that the defendant pay in accordance with the Schedule of Payments sheet of this judgment.

The defendant must comply with the standard conditions that have been adopted by this court as well as with any additional conditions on the attached page.

STANDARD CONDITIONS OF SUPERVISION

- 1) the defendant shall not leave the judicial district without the permission of the court or probation officer;
- 2) the defendant shall report to the probation officer in a manner and frequency directed by the court or probation officer;
- 3) the defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
- 4) the defendant shall support his or her dependents and meet other family responsibilities;
- 5) the defendant shall work regularly at a lawful occupation, unless excused by the probation officer for schooling, training, or other acceptable reasons;
- 6) the defendant shall notify the probation officer at least ten days prior to any change in residence or employment;
- 7) the defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any controlled substance or any paraphernalia related to any controlled substances, except as prescribed by a physician;
- 8) the defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered;
- 9) the defendant shall not associate with any persons engaged in criminal activity and shall not associate with any person convicted of a felony, unless granted permission to do so by the probation officer;
- 10) the defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view of the probation officer;
- 11) the defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;
- 12) the defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court; and
- 13) as directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.

DEFENDANT:
CASE NUMBER:



ADDITIONAL STANDARD CONDITIONS OF SUPERVISION

The defendant shall submit to one drug test within 15 days of release from imprisonment and random drug tests thereafter, conducted by the U.S. Probation Office, not to exceed 104 tests per year.

DEFENDANT: [REDACTED]
CASE NUMBER: [REDACTED]

SPECIAL CONDITIONS OF SUPERVISION

If the defendant is unemployed after the first 60 days of supervision, or if unemployed for 60 days after termination or lay-off from employment, he shall perform at least 20 hours of community service work per week at the direction of, and in the discretion of, the U.S. Probation Office until gainfully employed.

The defendant shall not incur new credit charges or open additional lines of credit without the approval of the probation officer unless the defendant is in compliance with the installment payment schedule.

The defendant shall provide the probation officer with access to any requested financial information.

The defendant shall pay any financial penalty that is imposed by this judgment that remains unpaid at the commencement of the term of supervised release. The defendant's monthly payment schedule shall be an amount that is at least ten percent of his net monthly income.

DEFENDANT: [REDACTED]
CASE NUMBER: [REDACTED]

CRIMINAL MONETARY PENALTIES

The defendant must pay the total criminal monetary penalties under the schedule of payments on Sheet 6.

	<u>Assessment</u>	<u>Fine</u>	<u>Restitution</u>
TOTALS	\$ 100.00	\$	\$ \$499,040

- ☐ The determination of restitution is deferred until _____. An *Amended Judgment in a Criminal Case (AO 245C)* will be entered after such determination.
- ☐ The defendant must make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

<u>Name of Payee</u>	<u>Total Loss*</u>	<u>Restitution Ordered</u>	<u>Priority or Percentage</u>
Bank of America Bank of America Home Loans [REDACTED]		\$186,290	
One West Bank Attn: Corporate Legal		\$165,250	
First Magnus Financial Corp.		\$147,500	

TOTALS	\$ _____	\$ 499,040
---------------	----------	------------

- ☐ Restitution amount ordered pursuant to plea agreement \$ _____
- ☐ The defendant must pay interest on restitution and a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).
- ☒ The court determined that the defendant does not have the ability to pay interest and it is ordered that:
- ☒ the interest requirement is waived for the ☐ fine ☒ restitution.
- ☐ the interest requirement for the ☐ fine ☐ restitution is modified as follows:

* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

DEFENDANT: [REDACTED]
CASE NUMBER: [REDACTED]

SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties is due as follows:

- A ☒ Lump sum payment of \$ 499,140 due immediately, balance due
- ☐ not later than _____, or
X in accordance ☐ C, ☐ D, ☐ E, or ☒ F below; or
- B ☐ Payment to begin immediately (may be combined with ☐ C, ☐ D, or ☐ F below); or
- C ☐ Payment in equal _____ (e.g., weekly, monthly, quarterly) installments of \$ _____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after the date of this judgment; or
- D ☐ Payment in equal _____ (e.g., weekly, monthly, quarterly) installments of \$ _____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after release from imprisonment to a term of supervision; or
- E ☐ Payment during the term of supervised release will commence within _____ (e.g., 30 or 60 days) after release from imprisonment. The court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or
- F ☒ Special instructions regarding the payment of criminal monetary penalties:
The defendant shall pay any financial penalty that is imposed by this judgment, and that remains unpaid at the commencement of the term of supervised release, at a monthly rate of at least 10 percent of his net monthly income.

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

X Joint and Several

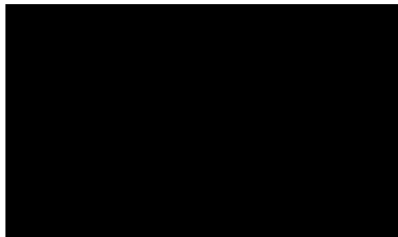
Defendant and Co-Defendant Names and Case Numbers (including defendant number), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

- ☐ The defendant shall pay the cost of prosecution.
- ☐ The defendant shall pay the following court cost(s):
- ☐ The defendant shall forfeit the defendant's interest in the following property to the United States:

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) penalties, and (8) costs, including cost of prosecution and court costs.

DEFENDANT:
CASE NUMBER:**ADDITIONAL DEFENDANTS AND CO-DEFENDANTS HELD JOINT AND SEVERAL**

Case Number

Defendant and Co-Defendant Names
(including defendant number)Total AmountJoint and Several
AmountCorresponding Payee,
if appropriate



Illinois Law Enforcement Training and Standards Board

STATE OF ILLINOIS

Pat Quinn, Governor
Kevin T. McClain, Executive Director

Phone: (217) 782-4540
Fax: (217) 524-5350
Website: <http://www.ptb.state.il.us>

October 16, 2014

CHAIRMAN

SHERIFF RICHARD WATSON
ST. CLAIR COUNTY

VICE CHAIRMAN

VALERIE L. SALMONS
VILLAGE MANAGER, BARTLETT

MEMBERS

SHERIFF BRENT A. FISCHER
ADAMS COUNTY

CHIEF JOHN H. SCHLAF
KNOX COLLEGE CAMPUS SAFETY
GALESBURG

KEITH TURNEY

DWIGHT W. WELCH
MAYOR, COUNTRY CLUB HILLS

LAUREL LUNT PRUSSING
MAYOR, URBANA

SHERIFF PATRICK HARTSHORN
VERMILION COUNTY

TIM GLEASON
CITY ADMINISTRATOR,
WASHINGTON

DARRYL STROUD
UNIVERSITY PARK POLICE
DEPARTMENT

PAUL WILLIAMS

CHIEF JAN W. NOBLE
BELVIDERE POLICE
DEPARTMENT

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ATTORNEY GENERAL
STATE OF ILLINOIS

DOROTHY BROWN
CLERK OF THE CIRCUIT COURT
COOK COUNTY

GARRY MCCARTHY
SUPERINTENDENT
CHICAGO POLICE DEPARTMENT

SHERIFF THOMAS DART
COOK COUNTY

DIRECTOR HIRAM GRAU
DEPARTMENT OF STATE POLICE

SPECIAL AGENT-IN-CHARGE
FBI, SPRINGFIELD

DIRECTOR MICHAEL SCHLOSSER
POLICE TRAINING INSTITUTE,
CHAMPAIGN

DIRECTOR SALVADOR GODINEZ
ILLINOIS DEPARTMENT OF
CORRECTIONS

Superintendent Garry McCarthy
Chicago Police Department
1300 West Jackson Boulevard
Chicago, Illinois 60607

Dear Superintendent McCarthy:

The Illinois Law Enforcement Training and Standards Board is mandated under Public Act 91- 495 (50 ILCS 705/6.1) to ensure that law enforcement officers practicing in Illinois are free of all felony and certain misdemeanor (occurring after August 13, 1999) convictions.

Records the Board has obtained indicate that the referenced officer employed (or formerly employed) by your agency sustained a conviction deemed to be a decertification under the Act.

This notice constitutes a decertification of the officer's previous certification and ability to practice as a law enforcement officer in Illinois. The statute additionally provides that an officer who continues law enforcement practice after receiving a conviction, commits a class 4 felony.

Board staff will be pleased to assist you with any questions associated with this notice.

Sincerely,

Kevin T. McClain
Executive Director

KTM/lb

cc: The Honorable Thomas Dart
Cook County Sheriff
The Honorable Anita Alvarez
Cook County State's Attorney

4500 South 6th Street Road / Room 173 / Springfield, IL 62703

Report Date: 22 Jul 2014
Report Time: 1046 Hrs

Chicago Police Department

Personnel Division



Information Services Division
Data Warehouse
Produced by [REDACTED]

Complimentary History

Name	Title	Star	Unit	Detail Unit	Emp Number
WELCH, JOHN W	9161	7551	015	376	[REDACTED]

Achievements

Total No.

PRESIDENTIAL ELECTION DEPLOYMENT AWARD 2008	1
2009 CRIME REDUCTION AWARD	1
HONORABLE MENTION	13
UNIT MERITORIOUS PERFORMANCE AWARD	1
DEPARTMENT COMMENDATION	2
COMPLIMENTARY LETTER	3
NATO SUMMIT SERVICE AWARD	1
EMBLEM OF RECOGNITION - PHYSICAL FITNESS	4
PROBLEM SOLVING AWARD	1
ATTENDANCE RECOGNITION AWARD	1
TOTAL AWARDS	28

CR# 1068387

NOTE: THIS REPORT IS FOR OFFICIAL LAW
ENFORCEMENT / AUTHORIZED USE ONLY.
THE INFORMATION IS CURRENT AS OF THE
DATE AND TIME OF THE REPORT. THIS



CPD 0313624

BUREAU OF INTERNAL AFFAIRS
RECORDS SECTION

22 July 2014

TO: COMMANDING OFFICER UNIT 121-CIS
FROM: RECORDS SECTION
BUREAU OF INTERNAL AFFAIRS
SUBJECT: PREVIOUS SUSTAINED DISCIPLINARY HISTORY OF:

WELCH, JOHN W.	.7551	376
NAME (LAST, FIRST M.I.)	STAR	UNIT
M	W	
SEX	RACE	EMPLOYEE #
REFERENCE:	COMPLAINT REGISTER #	<u>1068387</u>

THE PREVIOUS DISCIPLINARY RECORD OF THE SUBJECT HAS BEEN
REQUESTED IN YOUR NAME BY:

SGT.	TIMOTHY MOORE	#2299	57049	121-CIS
RANK	NAME	STAR	EMP#	UNIT

RELATIVE TO A SUSTAINED FINDING IN THE INVESTIGATION OF THE
ABOVE REFERENCED COMPLAINT REGISTER NUMBER.

THE RECORDS SECTION, BUREAU OF INTERNAL AFFAIRS,, DISCLOSES THE
FOLLOWING DISCIPLINARY ACTION(S) ADMINISTERED TO THE SUBJECT
ACCUSED, FOR THE PAST FIVE (5) YEARS.

VERIFIED/PREPARED BY:

P.A. CHRISTINA FABIAN#17693
FOR: COMMANDING OFFICER
RECORDS SECTION
BUREAU OF INTERNAL
AFFAIRS

SPAR-NONE
CR- SEE ATT'D

CHICAGO POLICE DEPARTMENT

Sustained Complaints History Report

22-JUL-2014 10:56:26 AM

<u>Social Sec. #</u>	<u>Name</u>	<u>Star #</u>	<u>Emp. #</u>	<u>Rank</u>	<u>Description</u>	<u>Unit</u>
[REDACTED]	WELCH JOHN W.	7551	[REDACTED]	9161	POLICE OFFICER	376
<u>Sex</u>	<u>Race</u>	<u>Birth Date</u>	<u>Appointed Date</u>	<u>No. Of CR's</u>	<u>No. Of SPAR's</u>	
M	WHITE	[REDACTED]	25-OCT-2004	1	0	
<u>Case #</u>	<u>Incident Date</u>	<u>Suspension Dates</u>	<u>Disciplinary Action Taken</u>	<u>Final Category</u>	<u>Less Serious Transgression</u>	
[REDACTED]	02-MAY-2012		**PENALTY NOT SERVED <u>Police Board #</u>	08M-OTHER FELONY		
<u>Civil Suit #</u>			**PENALTY NOT SERVED <u>Police Board #</u>	08M-OTHER FELONY		
<u>Comments</u>						

ISSUED FOR USE IN CR# 1068387 ONLY



Rahm Emanuel
Mayor

Department of Police • City of Chicago
3510 South Michigan Avenue • Chicago, Illinois 60653

Garry F. McCarthy
Superintendent of Police

26 Jan 15

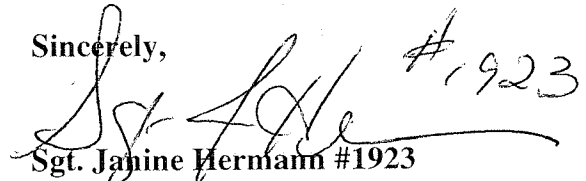
Dear [REDACTED]

This letter is to inform you that you are the subject of Complaint Log Number 1068387. This complaint is being investigated by the Bureau of Internal Affairs of the Chicago Police Department relative to your decertification by the Illinois Law Enforcement Training and Standards Board.

A vital step in the investigation is an interview with you and notifying you of your right to respond to the allegation. Please contact me as soon as possible so that arrangements can be made to interview you. My contact information is provided so that you can contact me. If you fail to respond within 10 days, this investigation will proceed and conclude without your cooperation. Thank you.

Name: Sgt. Janine Hermann #1923
Address: 3510 S. Michigan Ave. 5th Floor IAD
Telephone: 312-745-6310
Hours: M – F 7 am – 3pm

Sincerely,

 #1923
Sgt. Janine Hermann #1923
Bureau of Internal Affairs
General Investigations Section

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<p>1. Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</p> <p>2. Print your name and address on the reverse so that we can return the card to you.</p> <p>3. Attach this card to the back of the mailpiece, or on the front if space permits.</p>	<p>A. Signature <input type="checkbox"/> Agent</p> <p style="text-align: center;">X</p> <p>B. Received by (Printed Name) <input type="checkbox"/> Addressee</p> <p>C. Date of Delivery</p>
<p>1. Is delivery address different from item 1? <input type="checkbox"/> Yes</p> <p style="padding-left: 20px;">If YES, enter delivery address below: <input type="checkbox"/> No</p>	<p>3. Service Type</p> <p><input checked="" type="checkbox"/> Certified Mail® <input type="checkbox"/> Priority Mail Express™</p> <p><input type="checkbox"/> Registered <input type="checkbox"/> Return Receipt for Merchandise</p> <p><input type="checkbox"/> Insured Mail <input type="checkbox"/> Collect on Delivery</p>
<p>2. Article Number</p> <p style="text-align: center;">(Transfer from service label)</p>	<p>4. Restricted Delivery? (Extra Fee) <input type="checkbox"/> Yes</p>

Certified Mail A mailing receipt
A unique identifier A record of delivery
Important Remail Certified Mail
Certified Mail NO INSURANCE
valuable, please For an additional
Receipt (PS Form 3800, August 1999)
PS Form 3800, August 1999

APT P3
20301

CERTIFIED MAIL™



U.S. Postal Service
CERTIFIED MAIL™ RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com

Sgt J Heron OFFICIAL USE

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

Postmark
Here



PS Form 3800, August 2006 See Reverse for Instructions



City of Chicago
Department of Police
3510 South Michigan Avenue
Chicago, Illinois 60653
Sgt J Heron

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State. The criminal record data in this product or service may include records that have been expunged, sealed, or otherwise have become inaccessible to the public since the date on which the data was last updated or collected.

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Your DPPA Permissible Use: Court, Law Enforcement, or Government Agencies

Your GLBA Permissible Use: Law Enforcement Purposes

Your DMF Permissible Use: No Permissible Purpose

Law Enforcement Report

Date: 01/27/15

Report Legend:

- S** - Shared Address
- D** - Deceased
- ✓** - Probable Current Address

Report processed by:

Chicago Police Department - Organized Crime Division
Organized Crime Division
Chicago, IL 60653-1020
312-745-6085 Main Phone
312-745-6836 Fax

Indicators

Bankruptcy: **Yes**

Property: **No**

Corporate Affiliations: **No**

Subject Information: (Best Information for Subject)

Name: [REDACTED] DOB: [REDACTED]
SSN: [REDACTED] issued in Illinois between 1/1/1988 and 12/31/1990

[View All SSN Sources](#)

Age: 37

☐ Collapse All

☐ Names Associated With Subject: [View All Name Variations Sources](#)  

[REDACTED]

☐ Others Associated With Subjects SSN:  
(DOES NOT usually indicate any type of fraud or deception)

[REDACTED]

☐ Address Summary: [View All Address Variation Sources](#)  

 **Active Address(es):** [View All Address Variation Sources](#)  

Property Ownership Information for this Address
Property:

COUNTY

COUNTY

Sale Price - \$605,000
Subdivision Name - VILLAGE OF RIDGELAND SUB OF EH EH SEC
Assessed Value - \$40,277
Land Size - 8550 SF
Year Built - 1903
Data Source - B

Neighborhood Profile (2010 Census)

Average Age: 36
Median Household Income: \$80,068
Median Owner Occupied Home Value: \$208,333
Average Years of Education: 16

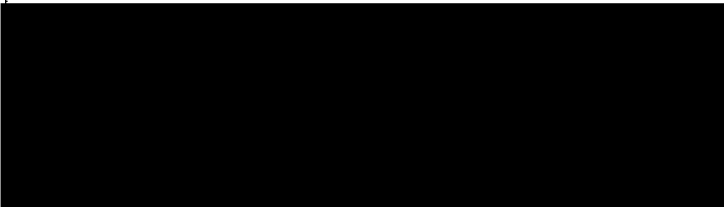
 **Previous And Non-Verified Address(es):** [View All Address Variation Sources](#)  

Print LEADS Response

M/M2O6KGRK

SOS 02032015 1204

DL/IP STA/VALID
TDL/TIP STA/SEE ILOLNHELP
CDL/CIP STA/SEE ILOLNHELP
SCHLBUS STA/NOT A SCHOOL BUS DRIVER (SEE ILOLNHELP)





Rahm Emanuel
Mayor

Department of Police • City of Chicago
3510 South Michigan Avenue • Chicago, Illinois 60653

Garry F. McCarthy
Superintendent of Police

03 Feb 15

Dear: [REDACTED]

This letter is to inform you that you are the subject of Complaint Log Number 1068387. This complaint is being investigated by the Bureau of Internal Affairs of the Chicago Police Department relative to your decertification by the Illinois Law Enforcement Training and Standards Board.

A vital step in the investigation is an interview with you and notifying you of your right to respond to the allegation. Please contact me as soon as possible so that arrangements can be made to interview you. My contact information is provided so that you can contact me. If you fail to respond within 10 days, this investigation will proceed and conclude without your cooperation. Thank you.

Name: Sgt. Janine Hermann
Address: 3510 S. Michigan Ave. 5th Floor IAD
Telephone: 312-745-6310
Hours: M – F 7 am – 3pm

Sincerely,

A handwritten signature in black ink, appearing to read "Janine Hermann", followed by the number "#1923".

Sgt. Janine Hermann #1923
Bureau of Internal Affairs
General Investigations Section

CERTIFIED MAILTM

U.S. Postal ServiceTM
CERTIFIED MAILTM RECEIPT
Domestic Mail Only; No Insurance Coverage Provided
For delivery information visit our website at www.usps.com

Sgt E. Herman **SO SPECIAL USE**

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

Postmark Here

Se
St
or
C
ons

PS Form 3800, August 2006

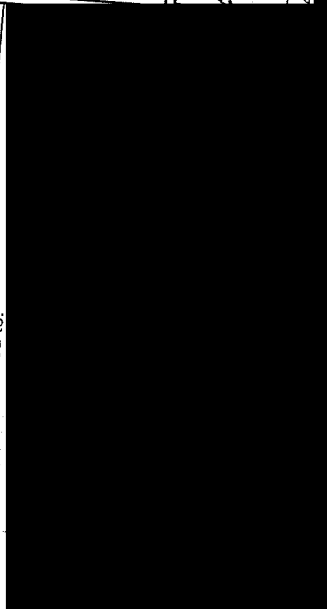
City of Chicago
Department of Police
3510 South Michigan Avenue
Chicago, Illinois 60653
Sgt E. Herman



SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:



(Transfer from service label)

PS Form 3811, February 2004

COMPLETE THIS SECTION ON DELIVERY

A. Signature

X

☐ Agent

☐ Addressee

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type

☒ Certified Mail

☐ Express Mail

☐ Registered

☐ Return Receipt for Merchandise

☐ Insured Mail

☐ C.O.D.

☐ Yes

Domestic Return Receipt

102595-02-M-1540

Certified Mail
■ A mailing receipt
■ A unique identifier
■ A record of delivery
Important Reminder
■ Certified Mail
■ Certified Mail
■ NO INSURANCE
■ For an additional fee, Endorsement required.
■ For an additional fee, To obtain delivery, PS Form 3800, August 2004

IMPORTANT: Sender
■ If a postmark is not made at the post office, a return receipt is not required.



USPS Tracking™



Customer Service >
Have questions? We're here to help.

Tracking Number XXXXXXXXXX

Updated Delivery Day: Friday, January 30, 2015

Product & Tracking Information

Postal Product:

Features:

Certified Mail™

Available Actions

Text Updates

Email Updates

DATE & TIME	STATUS OF ITEM	LOCATION
January 30, 2015 , 3:30 pm	Notice Left (No Authorized Recipient Available)	OAK PARK, IL 60302
We attempted to deliver your item at 3:30 pm on January 30, 2015 in OAK PARK, IL 60302 and a notice was left because an authorized recipient was not available.		
January 30, 2015 , 7:27 am	Arrived at Unit	OAK PARK, IL 60301
January 30, 2015 , 5:18 am	Departed USPS Facility	CAROL STREAM, IL 60199
January 29, 2015 , 1:00 pm	Arrived at USPS Facility	CAROL STREAM, IL 60199
January 29, 2015 , 3:48 am	Departed USPS Facility	BEDFORD PARK, IL 60499
January 28, 2015 , 5:22 pm	Arrived at USPS Facility	BEDFORD PARK, IL 60499

Track Another Package

Tracking (or receipt) number

Track It

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USPS Tracking™



Customer Service ›
Have questions? We're here to help.

Tracking Number [REDACTED]

Updated Delivery Day: Friday, February 6, 2015

Product & Tracking Information

Postal Product:

Features:

Certified Mail™

Available Actions

Text Updates

Email Updates

DATE & TIME

STATUS OF ITEM

LOCATION

February 6, 2015 , 5:53 pm

Notice Left (No Authorized
Recipient Available)

ELMWOOD PARK, IL 60707

We attempted to deliver your item at 5:53 pm on February 6, 2015 in ELMWOOD PARK, IL 60707 and a notice was left because an authorized recipient was not available. You may arrange redelivery by visiting <http://www.usps.com/redelivery> or calling 800-ASK-USPS, or may pick up the item at the Post Office indicated on the notice. If this item is unclaimed after 15 days then it will be returned to the sender.

February 6, 2015 , 7:09 am

Arrived at Unit

HARWOOD
HEIGHTS, IL 60706

Track Another Package

Tracking (or receipt) number

Track It

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BUREAU OF INTERNAL AFFAIRS
General Investigations Division

18 Feb 2015
Log #1068387


To: Juan Rivera
Chief
Bureau of Internal Affairs

From: Janine Hermann #1923
Sergeant
Bureau of Internal Affairs

Subject: Attempt to Contact

Upon being assigned to investigate Log # 1068387, the R/Sgt attempted to contact [REDACTED]. An Accurant report was generated in attempted to determine a current address for [REDACTED]. A certified letter was sent to [REDACTED] under tracking number 7 [REDACTED] on 26 Jan 15. A certified letter was also sent to [REDACTED] on 03 Feb 15 under tracking number [REDACTED]. The R/Sgt also attempted to contact [REDACTED] via telephone with negative results as the listed phone numbers were disconnected. A LEADS responds indicates that [REDACTED] current driver's license is at [REDACTED]. On 06 Feb 2015 at 1500 hours went to this address. There was ice and snow on the walkway and the stairs indicating that there had not been any recent foot traffic. The R/Sgt rang the doorbell and got no response.

As of the date of this report, there has been no contact made with this office.


Janine Hermann #1923
Sergeant
Bureau of Internal Affairs



City of Chicago
Department of Police
3510 South Michigan Avenue
Chicago, Illinois 60653

Sgt. J. Herm

CERTIFIED MAIL™

#44033-424
Per Dr.
37

U.S. Postal Service™	
CERTIFIED MAIL™ RECEIPT	
(Domestic Mail Only; No Insurance Coverage Provided)	
For delivery information visit our website at www.usps.com	
Sgt J. Hermann - 10/1	
Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$
Postmark Here	

CPD 0313639



Rahm Emanuel
Mayor

Department of Police • City of Chicago
3510 South Michigan Avenue • Chicago, Illinois 60653

Garry F. McCarthy
Superintendent of Police

05 June 2015


Dear: [REDACTED]

This letter is to inform you that you are the subject of Complaint Log Number 1068387. This complaint is being investigated by the Bureau of Internal Affairs of the Chicago Police Department relative to your decertification by the Illinois Law Enforcement Training and Standards Board.

A vital step in the investigation is an interview with you and notifying you of your right to respond to the allegation. Please contact me as soon as possible so that arrangements can be made to interview you. My contact information is provided so that you can contact me. If you fail to respond within 10 days, this investigation will proceed and conclude without your cooperation. Thank you.

Name: Sgt. Janine Hermann #1923
Address: 3510 S. Michigan Ave. 5th Floor IAD
Telephone: 312-745-6310
Hours: M – F 7 am – 3pm

Sincerely,


Sgt. Janine Hermann #1923
Bureau of Internal Affairs
Investigations Section North

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS FOR DATE PROMPT LINE

SENDER: COMPLETE THIS SECTION		COMPLETE THIS SECTION ON DELIVERY	
<div>■ Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</div> <div>■ Print your name and address on the reverse so that we can return the card to you.</div> <div>■ Attach this card to the back of the mailpiece, or on the front if space permits.</div>		A. Signature X	<input type="checkbox"/> Agent <input type="checkbox"/> Addressee
		B. Received by (Printed Name)	C. Date of Delivery
		D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No	
		3. Service Type <input checked="" type="checkbox"/> Certified Mail <input type="checkbox"/> Registered <input type="checkbox"/> Insured Mail <input type="checkbox"/> C.O.D. <input type="checkbox"/> Express Mail <input type="checkbox"/> Return Receipt for Merchandise	
		4. Restricted Delivery? (Extra Fee) <input type="checkbox"/> Yes	
2. Article Number (Transfer from service label)			
PS Form 3811, February 2004		Domestic Return Receipt 102595-02-M-1540	

Certified Mail
■ A mailing receipt
■ A unique identifier
■ A record of delivery
Important Reminders
■ Certified Mail is
■ NO INSURANCE
■ For an additional fee, please
valuable, please
delivery. To obtain
Receipt (PS Form
fee. Endorse me
a duplicate return
required.
■ For an additional
addressee's signature
■ If a postmark or
cancel at the post
receipt is not required
IMPORTANT: See
PS Form 3800, August



City of Chicago
Department of Police
3510 South Michigan Avenue
Chicago, Illinois 60653
Sgt. J. Her

CERTIFIED MAIL™

U.S. Postal Service™
CERTIFIED MAIL™ RECEIPT
(Domestic Mail Only. No Insurance Coverage Provided)
For delivery information visit our website at www.usps.com

0707

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

Postmark
Here



SENDER: COMBICENT

SENDER: COMBICENT

- THIS SECTION**
- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

COMPLETE THIS SECTION

<p>A. Signature</p> <p>X</p>		<p><input type="checkbox"/> Agent</p> <p><input type="checkbox"/> Addressee</p>
<p>B. Received by (Printed Name)</p>		<p>C. Date of Delivery</p>
<p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No</p>		

1. Article Addressed to:

Service Type

- ☒ Certified Mail® ☐ Priority Mail Express™
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ Collect on Delivery
- Restricted Delivery

2. Article Number
(Transfer from service label)

PS Form 3811, July 2013

Certified Mail

- A mailing receipt
- A unique identifier
- A record of delivery

Important Reminder

- Certified Mail is not insurable.
- NO INSURANCE FOR VALUABLES, PLEASE

- For an addition to delivery, to obtain Receipt (PS Form 3800, Aug. 2006) fee; Endorsement required.
- For an additional addressee's address at the post office aftermark or receipt is not needed.

IMPORTANT: See PS Form 3800, Aug.



Rahm Emanuel
Mayor

Department of Police • City of Chicago
3510 South Michigan Avenue • Chicago, Illinois 60653

Garry F. McCarthy
Superintendent of Police

13 Oct 2015


Dear: [REDACTED]

This letter is to inform you that you are the subject of Complaint Log Number 1068387. This complaint is being investigated by the Bureau of Internal Affairs of the Chicago Police Department relative to your decertification by the Illinois Law Enforcement Training and Standards Board.

A vital step in the investigation is an interview with you and notifying you of your right to respond to the allegation. Please contact me as soon as possible so that arrangements can be made to interview you. My contact information is provided so that you can contact me. If you fail to respond within 10 days, this investigation will proceed and conclude without your cooperation. Thank you.

Name: Sgt. Janine Hermann #1923
Address: 3510 S. Michigan Ave. 5th Floor IAD
Telephone: 312-745-6310
Hours: M – F 7 am – 3pm

Sincerely,


Sgt. Janine Hermann #1923
Bureau of Internal Affairs
Investigations Section North

PL. 7 60301

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U.S. Postal Service™
CERTIFIED MAIL™ RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com

OFFICIAL USE 21

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

Postmark
Here

Sent _____
Street _____
or PO _____
City _____

PS Form 3800, August 2006

See Reverse for Instructions

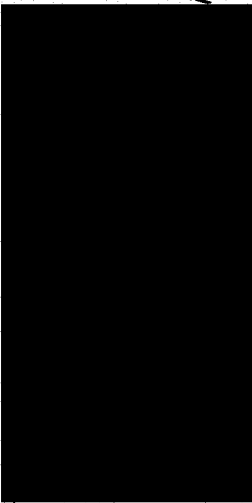


City of Chicago
Department of Police
3510 South Michigan A
Chicago, Illinois 60653
Sgt J. Her

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF ADDRESSES. FOLLOW DOTTED LINE.

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.



COMPLETE THIS SECTION ON DELIVERY

- A. Signature ☐ Agent ☒ Addressee
- B. Received by (Printed Name) C. Date of Delivery
- D. Is delivery address different from item 1? ☐ Yes ☐ No
If YES, enter delivery address below:

3. Service Type ☒ Certified Mail® ☐ Priority Mail Express™
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ Collect on Delivery
4. Restricted Delivery? (Extra Fee) ☐ Yes

2. Article Number
(Transfer from service label)

PS Form 3811, July 2008

Certified Mail
■ A mailing receipt
■ A unique identifier
■ A record of delivery
Important Reminders
■ Certified Mail is
■ NO INSURANCE
■ For an additional fee, Endorsement for a duplicate return receipt (PS Form 3811) is required.
■ For an additional fee, Endorsement for a duplicate return receipt (PS Form 3811) is required.
■ If a postmark or receipt is not needed at the post office at the time of mailing, a postmark or receipt is not needed at the time of mailing.
IMPORTANT: Save
PS Form 3800, August 2008



Rahm Emanuel
Mayor

Department of Police • City of Chicago
3510 South Michigan Avenue • Chicago, Illinois 60653

Garry F. McCarthy
Superintendent of Police

10 Nov 2015

Dear: [REDACTED]

This letter is to inform you that you are the subject of Complaint Log Number 1068387. This complaint is being investigated by the Bureau of Internal Affairs of the Chicago Police Department relative to your decertification by the Illinois Law Enforcement Training and Standards Board.

A vital step in the investigation is an interview with you and notifying you of your right to respond to the allegation. Please contact me as soon as possible so that arrangements can be made to interview you. My contact information is provided so that you can contact me. If you fail to respond within 10 days, this investigation will proceed and conclude without your cooperation. Thank you.

Name: Sgt. Janine Hermann #1923

Address: 3510 S. Michigan Ave. 5th Floor IAD

Telephone: 312-745-6310

Hours: M – F 7 am – 3pm

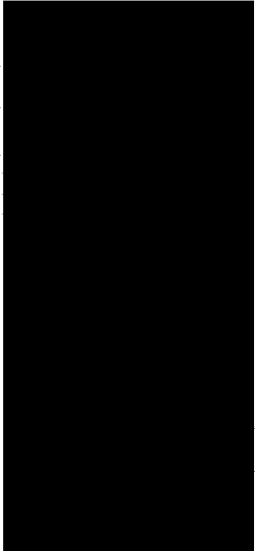
Sincerely,

A handwritten signature in cursive script, appearing to read "Sgt. J. Hermann", written over a horizontal line.

**Sgt. Janine Hermann #1923
Bureau of Internal Affairs
Investigations Section North**

1st and 2nd St
8/10/06

CERTIFIED MAIL™



City of Chicago
Department of Police
3510 South Michigan Ave
Chicago, Illinois 60653
Sgt J. Hernandez



U.S. Postal Service <small>TM</small>	
CERTIFIED MAIL <small>TM</small> RECEIPT	
<small>(Domestic Mail Only; No Insurance Coverage Provided)</small>	
For delivery information visit our website at www.usps.com	
<i>Sgt J. Hernandez</i>	
Postage	\$
Certified Fee	
Return Receipt Fee <small>(Endorsement Required)</small>	
Restricted Delivery Fee <small>(Endorsement Required)</small>	
Total Postage & Fees	\$
Postmark Here	
See Reverse for Instructions	



Rahm Emanuel
Mayor

Department of Police • City of Chicago
3510 South Michigan Avenue • Chicago, Illinois 60653

Garry F. McCarthy
Superintendent of Police

10 Nov 2015


Dear: [REDACTED]

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A vital step in the investigation is an interview with you and notifying you of your right to respond to the allegation. Please contact me as soon as possible so that arrangements can be made to interview you. My contact information is provided so that you can contact me. If you fail to respond within 10 days, this investigation will proceed and conclude without your cooperation. Thank you.

Name: Sgt. Janine Hermann #1923
Address: 3510 S. Michigan Ave. 5th Floor IAD
Telephone: 312-745-6310
Hours: M – F 7 am – 3pm

Sincerely,


Sgt. Janine Hermann #1923
Bureau of Internal Affairs
Investigations Section North

M/M3ABW70J

SOS 10132015 1201

DL/IP STA/VALID

TDL/TIP STA/SEE ILOLNHELP

CDL STA/SEE ILOLNHELP

SCHLBUS STA/NOT A SCHOOL BUS DRIVER (SEE ILOLNHELP)

END

SENDER: COMPLETE THIS SECTION

- ☒ Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- ☒ Print your name and address on the reverse so that we can return the card to you.
- ☒ Attach this card to the back of the mailpiece, or on the front if space permits.

1. Addressee's name:

[Redacted]

COMPLETE THIS SECTION ON DELIVERY

[Redacted] ☐ Agent
[Redacted] ☐ Addressee
Date of Delivery

B. Received by:

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type

- ☒ Certified Mail® ☐ Priority Mail Express™
- ☐ Registered ☐ Return Receipt for Merchandise
- ☐ Insured Mail ☐ Collect on Delivery

4. Restricted Delivery? (Extra Fee) ☐ Yes

2. Article Number
(Transfer from service label)

[Redacted]

PS Form 3811, July 2013

Domestic Return Receipt

BUREAU OF INTERNAL AFFAIRS
Area North Investigations

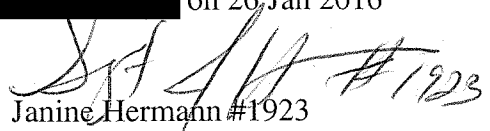
27 Jan 16
Log #1068387

To: Eddie L. Welch
Chief
Bureau of Internal Affairs

From: Janine Hermann #1923
Sergeant
Bureau of Internal Affairs

Subject: Attempt to Contact

R/Sgt was informed that the Accused was incarcerated in [REDACTED] with a release date of 20 Aug 15. On 05 June 15, the R/Sgt contacted [REDACTED] at 1015 hours and arranged a telephone call with the Accused. The R/Sgt spoke with the Accused who related that he did not wish to discuss this matter on the phone and would contact this office upon his release. Certified Letters were sent to three possible addresses. The signed domestic return receipt was returned to the R/Sgt from the address at [REDACTED]. The R/Sgt was unable to find a valid telephone number. The R/Sgt made a final attempt to contact the Accused at [REDACTED] on 26 Jan 2016 with negative results.


Janine Hermann #1923
Sergeant
Bureau of Internal Affairs

BUREAU OF INTERNAL AFFAIRS
General Investigations Section

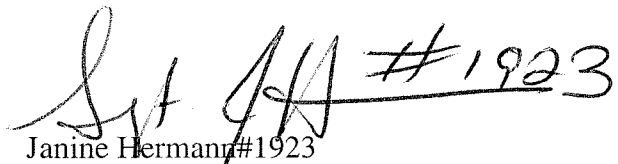
18 February 2015
Log # 1068387

To: Juan J. Rivera
Chief
Bureau of Internal Affairs

From: Janine Hermann #1923
Sergeant
Bureau of Internal Affairs

Subject: Completion of Log # 1068387


The following investigation is completed and submitted for your approval.

A handwritten signature in black ink, appearing to read "Sgt JH #1923".

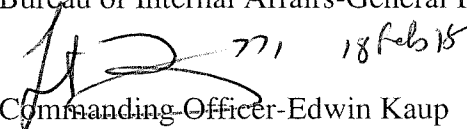
Janine Hermann #1923
Sergeant

Bureau of Internal Affairs- General Investigations


APPROVED:

18 FEB 15

Case Management Supervisor-P. O'Kelly
Sergeant

Bureau of Internal Affairs-General Investigations


771 18 Feb 15
Commanding Officer-Edwin Kaup
Lieutenant

Bureau of Internal Affairs-Investigations


Robert J. Klimas
Commander

Bureau of Internal Affairs

SUMMARY REPORT

CHICAGO POLICE DEPARTMENT

LOG NO
1068387

TYPE
CR

DATE OF REPORT
18-FEB-2015

TO: DEPUTY SUPERINTENDENT, BUREAU OF PROFESSIONAL STANDARDS
ATTENTION: CHIEF, INTERNAL AFFAIRS DIVISION

FROM - INVESTIGATOR'S NAME	RANK	STAR NO	EMPLOYEE NO	UNIT ASSIGNED	UNIT DETAILED
HERMANN, JANINE	9171	1923		121	

REFERENCE NOS.(LIST ALL RELATED C.L., C.B., I.R., INVENTORY NOS., ETC., PERTINENT OF THIS INVESTIGATION)

INCIDENT ADDRESS		DATE / TIME:05-AUG-2013 12:08	BEAT: 113
------------------	--	-------------------------------	-----------

ACCUSED

NAME	RANK	STAR NO	EMP NO	UNIT ASSIGNED	UNIT DETAILED	SEX/RACE	DOB	APPOINTED DATE	ON DUTY ?	SWORN ?
WELCH, JOHN W	9161	7551		015	376	M / WHI		25-OCT-2004	NO	YES

REPORTING PARTY

NAME	ADDRESS*	CITY	STATE	TELEPHONE	SEX / RACE	DOB / AGE
MOORE, TIMOTHY	RANK: 9171,STAR NO: 2299, EMP NO				M / BLK	/ 49

VICTIMS

NAME	ADDRESS*	CITY	STATE	TELEPHONE	SEX / RACE	DOB / AGE
------	----------	------	-------	-----------	------------	-----------

WITNESSES

NAME	ADDRESS*	CITY	STATE	TELEPHONE	SEX / RACE	DOB / AGE
------	----------	------	-------	-----------	------------	-----------

* IF CPD MEMBER, LIST RANK, STAR, EMPLOYEE NOS. IN ADDRESS, PAX/BELL IN TELEPHONE BOX.

ALLEGATIONS

** SEE LAST PAGE FOR INSTRUCTIONS FOR STATING ALLEGATIONS, AND COMPLETING THE REMAINDER OF THE SUMMARY REPORT.

NOTE: Complaint Log Type Classified as CR: Sworn Affidavit NOT REQUIRED

It is alleged that the Accused has been decertified by the Illinois Law Enforcement Training and Standards Board due to a conviction in the United States District Court, Northern District of Illinois under case number on August 5, 2013.

INVESTIGATION

A notification from the Illinois Law Enforcement Training Board was sent to Superintendent Garry McCarthy in regards to the decertification of John Welch. Due to the conviction sustained by Welch on 05 August 2013 it was deemed to be a decertification under Public Act 91-495(50ILCS 705/6.1). Due to this decertification [REDACTED] is unable to practice as a law enforcement officer in the state of Illinois. (Attachment 9)

The R/Sgt was reassigned to investigate Log # 1068387 on 23 Jan 15.

Attempts to contact [REDACTED] for an interview have been met with negative results. In order to determine contact information for [REDACTED] the R/Sgt utilized both Accurant and LEADS systems. Certified Letters were sent to [REDACTED] via USPS under tracking numbers [REDACTED] and [REDACTED]. Notice was left on 30 Jan 15 and on 06 Feb 15. The Reporting Sergeant also attempted to contact [REDACTED] via telephone. The listed telephone numbers were disconnected. On 06 Feb 15 at 1500 hours, the R/Sgt went to the address as indicated on [REDACTED] driver's license at [REDACTED]. There was ice and snow on the walkway and stairs indicating that there had not been recent foot traffic. The R/Sgt rang the door bell and received no response. As of the date of this report, there has been no contact with this office.(13,14,15,16,17,18)

FINDINGS

After reviewing the evidence gathered in this Complaint Register Investigation it has been determined that there is substantial evidence to SUSTAIN the allegation against the Accused.

ACCUSED

Police Officer [REDACTED], star # 7551, employee # [REDACTED]

ALLEGATION

SUSTAINED- Violation of Rule 2

Any action or conduct which impedes the Department's efforts to achieve its policy and goals, or brings discredit to the department; in that the accused officer is decertified by the Illinois Law Enforcement Training and Standards Board and no longer has the ability to practice as a law enforcement officer in Illinois. It is reported that this decertification was due to the fact that the accused officer was found guilty in the United States District Court, Northern District of Illinois under case number [REDACTED] of the offense of Wire Fraud, in violation of Title 18, United States Code, Section 1343.

RECOMMENDATION

Based on the sustained finding of this investigation, the R/Sgt recommends that the Accused, Police Officer John Welch, employee [REDACTED] be SEPARATED from the Department.

Date Initiated: 10 Apr 14
Date Completed: 18 Feb 15
Elapsed Time: 314 days

REPORT OF:

Sgt JA #1923
Janine Hermann #1923

Sergeant

Bureau of Internal Affairs

General Investigations Section

APPROVED:

19 Feb 15
P. O'Kelly
Case Management Supervisor-P. O'Kelly
Sergeant

Bureau of Internal Affairs-General Investigations

Edwin Kaup
Commanding Officer-Edwin Kaup
Lieutenant

Bureau of Internal Affairs
General Investigations Section

18 Feb 2015
Log# 1068387

Bureau of Internal Affairs-Investigations

A handwritten signature in black ink, appearing to read "R. J. Klimas", written over the printed name.

Robert J. Klimas

Commander

Bureau of Internal Affairs

FACE SHEET	N/A			TOPPINS, YOLANDA	04-APR-2014 12:15
2	CONFLICT CERTIFICATION	N/A			MOORE, TIMOTHY
3	SWORN AFFIDAVIT FROM COMPLAINANT	N/A 1		YES	MOORE, TIMOTHY
4	INITIATION REPORT	N/A 2	1068387	NO	HAYES, SHANNON
5	COURT DOCUMENTS (E.G., CIVIL COMPLAINTS, BOND SLIPS)	N/A 42	PO [REDACTED] indictment Case No. [REDACTED]	YES	MOORE, TIMOTHY
6	COURT DOCUMENTS (E.G., CIVIL COMPLAINTS, BOND SLIPS)	N/A 14	PO [REDACTED] plea agreement Case No. [REDACTED]	YES	MOORE, TIMOTHY
7	REPORT (OTHER)	N/A 2	PO [REDACTED] Decertification PAR W/ Report	YES	MOORE, TIMOTHY
8	COURT DOCUMENTS (E.G., CIVIL COMPLAINTS, BOND SLIPS)	N/A 8	[REDACTED] Sentencing Order and Criminal Monetary Penalties	YES	MOORE, TIMOTHY
9	REPORT (OTHER)	N/A 1	PO [REDACTED] Decertification Letter	YES	MOORE, TIMOTHY
10	COMPLIMENTARY HISTORY	N/A 1		YES	MOORE, TIMOTHY
11	DISCIPLINARY HISTORY	N/A 2		YES	MOORE, TIMOTHY
12	CONFLICT CERTIFICATION	N/A			HERMANN, JANINE
13	ATTEMPT TO CONTACT	N/A 3	certified letter	NO	HERMANN, JANINE
14	ADDITIONAL INFORMATION	N/A 2	Accurint report	NO	HERMANN, JANINE
15	ADDITIONAL INFORMATION	N/A 1	DL info	YES	HERMANN, JANINE
16	ATTEMPT TO CONTACT	N/A 3	certified letter #2	NO	HERMANN, JANINE
17	ATTEMPT TO CONTACT	N/A 2	USPS tracking info	NO	HERMANN, JANINE
18	ATTEMPT TO CONTACT	N/A 1		YES	HERMANN, JANINE

BUREAU OF PROFESSIONAL STANDARDS
Area North Investigations

27 Jan 16
CL #1068387

To: Eddie L. Welch III
Chief
Internal Affairs Division

ATTN: Robert Klimas
Commander
Internal Affairs Division

From: Sgt Janine Hermann #1923
General Investigation Section
Area North Investigations

Subject: Supplemental Closing Complaint Log 1068387

1. ACCUSED:

Police Officer [REDACTED]
Employee [REDACTED]
Unit: DNA

2. ALLEGATION:

Allegations not altered by this report.

3. EVIDENCE

Attachment 19- Attempt to Contact- certified letter to Lewisburg
Attachment 20- Additional Certified Letters
Attachment 21- Leads Inquiry
Attachment 22- USPS Domestic Return Receipt
Attachment 23- Additional Information-Summary

4. INVESTIGATION:

Upon learning that the Accused was incarcerated in [REDACTED] with an expected release date of 20 Aug 15, the R/Sgt contacted the Accused via telephone. The Accused related that he did not wish to discuss the matter on the telephone and would contact this office upon his release. Certified Letters were sent to three possible addresses in attempt to contact the Accused after his release. A USPS Return Receipt was returned to the R/Sgt from the address at [REDACTED]. [REDACTED] This receipt was affixed with the signature of [REDACTED]. The R/Sgt was unable to find a valid phone number for the Accused. The R/Sgt made a final attempt to contact [REDACTED] on 26 Jan 2016 a [REDACTED] with negative results. (Attachments 19,20,21,22)

5. **FINDINGS:**

The findings in this investigation have not been changed

6. **RECOMMENDATIONS:**

The Recommendations in this investigation have not been changed

A handwritten signature in black ink, appearing to read "Sgt JH #1923".

Sgt Janine Hermann #1923
Bureau of Internal Affairs
Area North Investigations

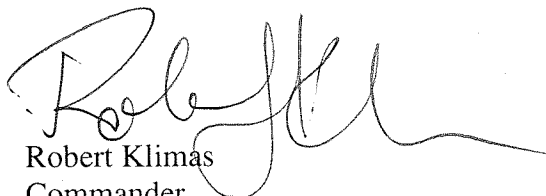
APPROVED:

A handwritten signature in black ink, appearing to read "Sgt. Robert Vanna #2232".

Sgt. Robert Vanna #2232
Case Management Supervisor
Bureau of Internal Affairs

A handwritten signature in black ink, appearing to read "Frederick Melean #666".

Frederick Melean #666
Commanding Officer
North Investigations Section

A handwritten signature in black ink, appearing to read "Robert Klimas".

Robert Klimas
Commander
Bureau of Internal Affairs

SUSPENSION NOTIFICATION

CHICAGO POLICE DEPARTMENT

|DATE

29 April 2016

COMPLAINT REGISTER NO.

1068387

NAME OF MEMBER

STAR NO.

EMPLOYEE NO.

UNIT/ASSIGN.

John Welch

7551

015

THIS ACTION RECOMMENDED BY: Superintendent of Police

CAUSE OF DISCIPLINARY ACTION

The Superintendent of Police, pursuant to the administrative authority vested in him, hereby suspends Police Officer John Welch, Star 7551, of Unit 015, for a period of thirty (30) days, after having determined that the said member violated Rule 2 of the Chicago Police Department.

In addition thereto, charges have been filed with the Chicago Police Board seeking the separation of the above cited member, or such other punishment as may be determined after a hearing by the Board, at which hearing the original disciplinary action taken by the Superintendent will also be reviewed.

THEREFORE, THE SUPERINTENDENT OF POLICE, PURSUANT TO THE ADMINISTRATIVE AUTHORITY VESTED IN HIM, HEREBY SUSPENDS THE ABOVE NAMED MEMBER FOR XXXXXXX DAYS.

~~XXXXXXXXXXOPTIONS TO BE GRANTED~~

☒ THE SUSPENSION WITHOUT PAY WILL COMMENCE AT 0001 HOURS ON 05 May 2016

AND CEASE AT 2400 HOURS ON XX

BY ORDER OF THE SUPERINTENDENT OF POLICE, MEMBERS ARE PROHIBITED
FROM EXERCISING THEIR POLICE POWERS TO CARRY A WEAPON DURING
THE PERIOD OF SUSPENSION

SUPERINTENDENT OF POLICE

NOTIFICATION SERVED ON MEMBER BY (SIGNATURE)

DATE AND TIME SERVED

FINANCE DIVISION USE ONLY

MEMBER ELECTS TO

- ☐ FORFEIT ACCUMULATED COMP. TIME (_____ DAYS)
- ☐ FORFEIT FURLOUGH/VACATION TIME (_____ DAYS)
- ☐ FORFEIT PERSONAL DAYS (_____ DAYS)
- ☐ WORK REGULAR DAYS OFF WITHOUT EXTRA COMPENSATION (_____ DAYS)
- ☐ BE SUSPENDED FOR (_____ DAYS)

PERSONNEL ORDER NUMBER

BEFORE THE POLICE BOARD OF THE CITY OF CHICAGO

**IN THE MATTER OF CHARGES FILED AGAINST
POLICE OFFICER JOHN WELCH,
STAR No. 7551, DEPARTMENT OF POLICE,
CITY OF CHICAGO,**

RESPONDENT.

)
) **No.** [REDACTED]
)
)
) **(CR No. 1068387)**
)

FINDINGS AND DECISION

On May 2, 2016, the Superintendent of Police filed with the Police Board of the City of Chicago charges against Police Officer [REDACTED], Star No. 7551 (hereinafter sometimes referred to as "Respondent"), recommending that the Respondent be discharged from the Chicago Police Department for violating the following Rule of Conduct:

Rule 2: Any action or conduct which impedes the Department's efforts to achieve its policy and goals or brings discredit upon the Department.

The Police Board caused a hearing on these charges against the Respondent to be had before Hearing Officer Thomas E. Johnson on August 5, 2016.

Following this hearing, the members of the Police Board read and reviewed the record of the proceedings. Hearing Officer Johnson made an oral report to and conferred with the Police Board before it rendered its findings and decision.

POLICE BOARD FINDINGS

The Police Board of the City of Chicago, as a result of its hearing on the charges, finds and determines that:

1. The Respondent was at all times mentioned herein employed as a police officer by the Department of Police of the City of Chicago.

2. The written charges, and a notice stating when and where the initial status hearing will be held, were personally served upon the Respondent more than five (5) days before the date of the initial status hearing.

3. The Respondent was properly notified of the August 5, 2016, hearing by both first-class and certified U.S. mail to the address listed on the notice of charges. The Respondent did not appear at the August 5, 2016, hearing. The hearing officer proceeded with this hearing in the Respondent's absence in accordance with the Police Board *Rules of Procedure*, Section III-G.

4. The Respondent, Police Officer [REDACTED], Star No. 7551, charged herein, is **guilty** of violating, to wit:

Rule 2: Any action or conduct which impedes the Department's efforts to achieve its policy and goals or brings discredit upon the Department,

in that the Superintendent proved by a preponderance of the evidence the following charge:

On or about October 16, 2014, the Illinois Law Enforcement Training and Standards Board decertified your previous certification and ability to practice as a law enforcement officer in Illinois under 50 ILCS 705/6.1, following your being adjudicated guilty on or about July 15, 2014, in case 12 CR 318-5 in the United States District Court for the Northern District of Illinois of one count under 18 USC §1343, "Fraud by wire, radio, or television," a conviction deemed to be a decertification under the Illinois Police Training Act, 50 ILCS 705/1, *et seq.*, thereby impeding the Department's efforts to achieve its policy and goals and/or bringing discredit upon the Department.

The Illinois Police Training Act (Superintendent's Exhibit Nos. 8 and 9) requires that every police officer maintain a certification with the State of Illinois in order to serve as a police officer. The Act further provides that any police officer convicted of a felony is to be decertified. The Superintendent presented certified copies of Officer [REDACTED] indictment in case number 12 CR 318, filed in the United States District Court for the Northern District of Illinois (Superintendent's Exhibit No. 1), as well as a certified copy of Officer [REDACTED] plea of guilty to Count III of the indictment on August 5, 2013 (Superintendent's Exhibit No. 2), and the judgment

entered against Officer Welch in federal court (Superintendent's Exhibit No. 4). The Superintendent also presented evidence from the federal court docket confirming that Officer Welch did not appeal from the judgment entered following his guilty plea (Superintendent's Exhibit No. 5). Count III of the federal indictment against Officer Welch, to which he pled guilty, charged him with a violation of 18 USC §1343, the federal wire fraud statute, which carries a maximum of a thirty-year sentence and is thus a federal felony charge (Superintendent's Exhibit Nos. 7 and 10). The Superintendent finished his case by presenting a letter from the Illinois Law Enforcement Training and Standards Board, dated October 16, 2014, decertifying Officer Welch and prohibiting him from serving as a police officer, based on his felony conviction (Superintendent's Exhibit No. 6). As Officer Welch did not appear at the hearing or otherwise contest any of this evidence, the Board credits the evidence and finds that Officer Welch has been decertified as a police officer and may not serve as a police officer.

5. The Police Board has considered the facts and circumstances of the Respondent's conduct, and the Respondent's complimentary and disciplinary histories.¹

Under Illinois law, the Respondent, a convicted felon, no longer possesses the required certification and ability to practice as a law enforcement officer. In addition, it would be a felony for the Respondent to continue to serve as a Chicago police officer. The Respondent's decertification by the Illinois Law Enforcement Training and Standards Board constitutes a substantial shortcoming that renders his continuance in his office detrimental to the discipline and efficiency of the service of the Chicago Police Department, and is something that the law recognizes as good cause for him to no longer occupy his office.

¹ As noted above, the Respondent did not appear at the August 5, 2016, hearing to offer any evidence in defense or mitigation.

POLICE BOARD DECISION

The Police Board of the City of Chicago, having read and reviewed the record of proceedings in this case, having received the oral report of the Hearing Officer, and having conferred with the Hearing Officer on the evidence, hereby adopts the findings set forth herein by the following vote:

By a vote of 7 in favor (Lori E. Lightfoot, Ghian Foreman, Eva-Dina Delgado, Michael Eaddy, Rita A. Fry, John H. Simpson, and Rhoda D. Sweeney) to 0 opposed, the Board finds the Respondent **guilty** of violating Rule 2.

As a result of the foregoing, the Board, by a vote of 7 in favor (Lightfoot, Foreman, Delgado, Eaddy, Fry, Simpson, and Sweeney) to 0 opposed, hereby determines that cause exists for discharging the Respondent from his position as a police officer with the Department of Police, and from the services of the City of Chicago.

NOW THEREFORE, IT IS HEREBY ORDERED that the Respondent, Police Officer John Welch, Star No. 7551, as a result of having been found **guilty** of all charges in Police Board Case No. [REDACTED] be and hereby is **discharged** from his position as a police officer with the Department of Police, and from the services of the City of Chicago.

This disciplinary action is adopted and entered by a majority of the members of the Police Board: Lori E. Lightfoot, Ghian Foreman, Eva-Dina Delgado, Michael Eaddy, Rita A. Fry, John H. Simpson, and Rhoda D. Sweeney.

DATED AT CHICAGO, COUNTY OF COOK, STATE OF ILLINOIS, THIS 27th DAY OF OCTOBER, 2016.

Police Board Case No. [REDACTED]
Police Officer John Welch

Attested by:



DISSENT


The following members of the Police Board hereby dissent from the Findings and Decision of the majority of the Board.

[None]

RECEIVED A COPY OF

THESE FINDINGS AND DECISION

THIS 10th DAY OF Nov, 2016.



EDDIE T. JOHNSON
Superintendent of Police



POLICE BOARD
CITY OF CHICAGO

Re: Case No. [REDACTED] John Welch

NOTICE

Under Illinois law, a party to a matter before the Police Board has the right to appeal the Board's final decision or order by filing a petition for administrative review in the Circuit Court of Cook County, County Department, Chancery Division.

In accordance with 735 Illinois Compiled Statutes 5/3-103, the time limit for filing an appeal is 35 days from the date the Board personally delivers a copy of the decision to a party, *or* 35 days from the date of the postmark when the Board sends a copy of the decision to a party via U.S. mail. Filing an appeal after this time limit may result in the dismissal of the case.

The Police Board voted to discharge Police Officer John Welch for bringing discredit to the department when he pled guilty to a felony wire fraud charge in federal court.